DES and AES

Chun-Jen Chung

- Q: Why does the ciphers introduced so far not secure?
- A: because of language characteristics

• Q: Any ideas to improve them (you already know the answer)?

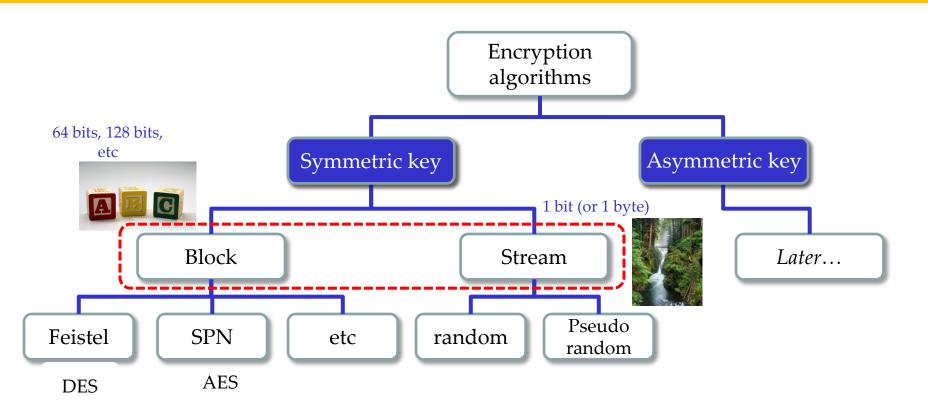
A: Use both substitution and transposition

From classical to modern ciphers

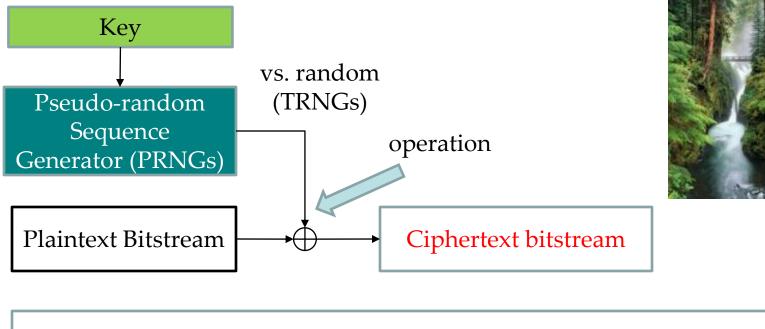
- Consider using several ciphers in succession to make harder, but:
 - Two substitutions make a more complex substitution
 - Two transpositions make more complex transposition
 - But a substitution followed by a transposition makes a new much harder cipher
- Q: What is this type of ciphers called?
- A: product ciphers
- This is bridge from classical to modern ciphers

- Q: What is most well-known and widely used morden cipher(s)?
- A: DES, AES,...

Classification of encryption algorithms



Stream cipher

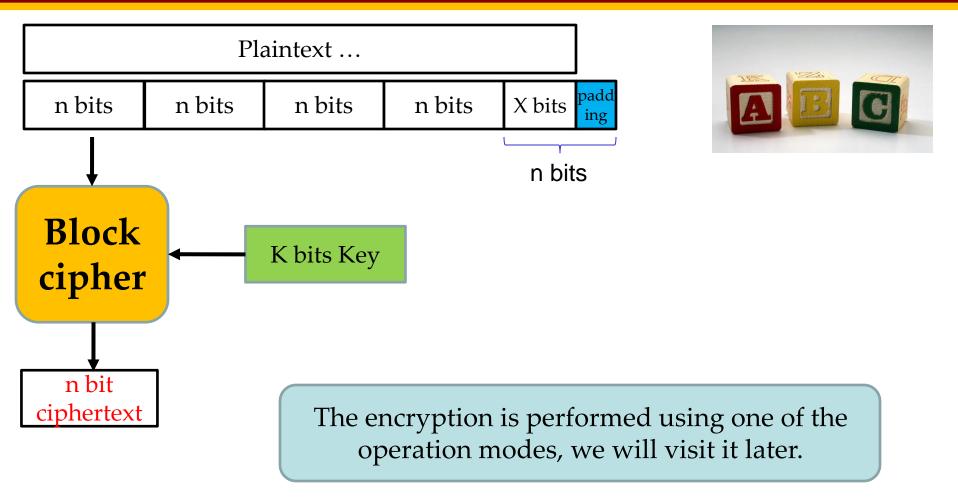




```
111111110000000 \dots
Plaintext bitstream
Pesudo-random stream
                    10011010110100...
                    01100101110100...
Ciphertext stream
```

Q: Caesar is a stream cipher?

Block cipher



Common block sizes: n = 64, 128, 256 bits

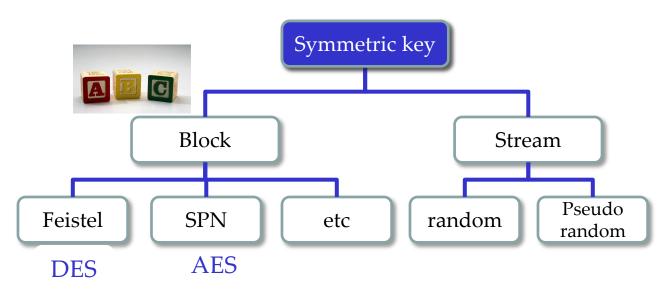
Common key sizes: k = 40, 56, 64, 80, 128,168, 192, 256 bits

Stream cipher vs. Block cipher

| | Stream cipher | Block cipher | | | | |
|-------|---|--|--|--|--|--|
| D | • Speed of transformation: Because each symbol is encrypted without regard for any other plaintext symbols, each symbol can be encrypted as soon as it is read. | High diffusion: Information from the plaintext is diffused into several ciphertext symbols. Immunity to insertion of | | | | |
| Pros. | • Low error propagation: Because each symbol is separately encoded | symbols: Because blocks of symbols are enciphered, it is impossible to insert a single symbol into one block. The length of the block would then be incorrect | | | | |
| Cons. | Low diffusionSusceptibility to malicious insertions and modifications | Slowness of encryption (c.f. faster than public key)Error propagation | | | | |

DES (Data Encryption Standard)

Block cipher: DES, AES





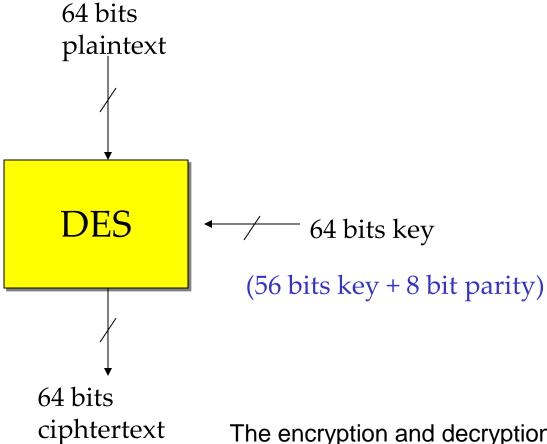
DES: Data Encryption Standard (1970s)

or

DEA: Data Encryption Algorithm

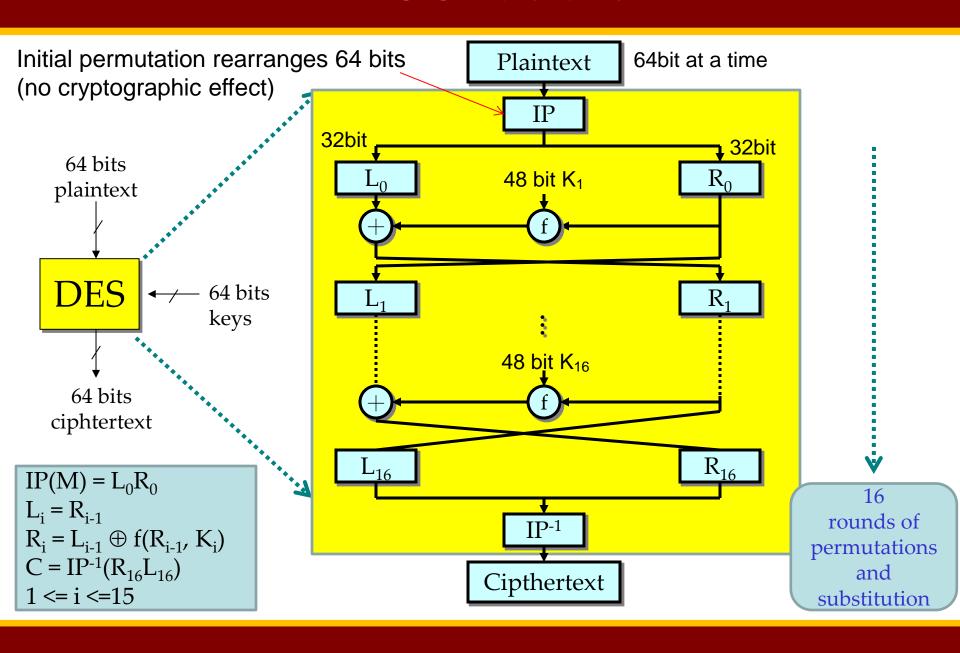
AES: Advanced Encryption Standard (2001)

DES Structure



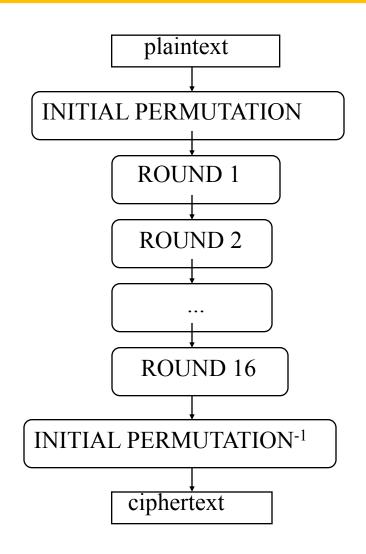
The encryption and decryption operations are very similar, even identical in some cases, requiring only a reversal of the key schedule.

DES Structure

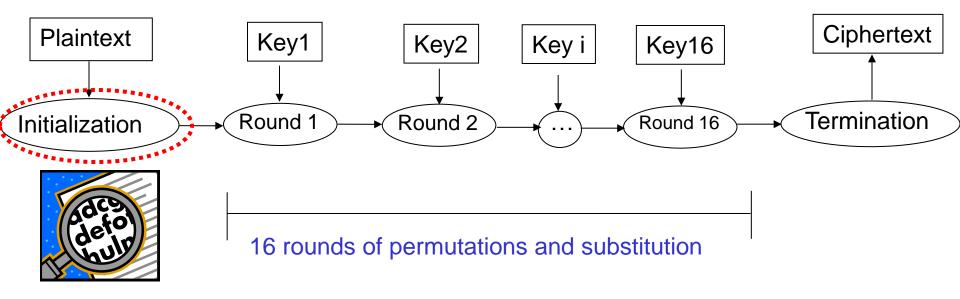


Overview of DES

- Block cipher: 64 bits at a time
- Initial permutation rearranges 64 bits (no cryptographic effect)
- Encoding is in 16 rounds



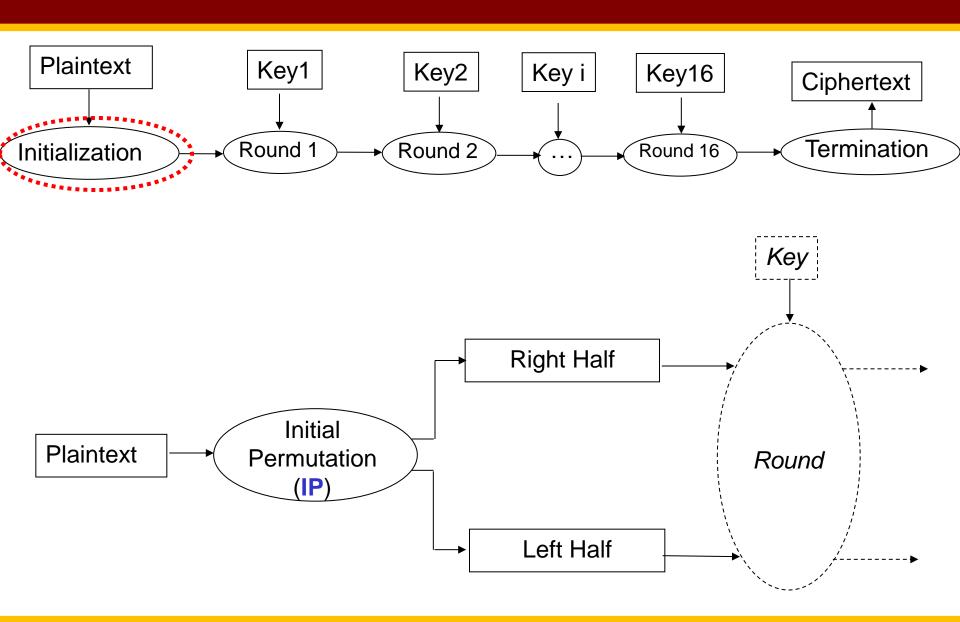
Overview of DES



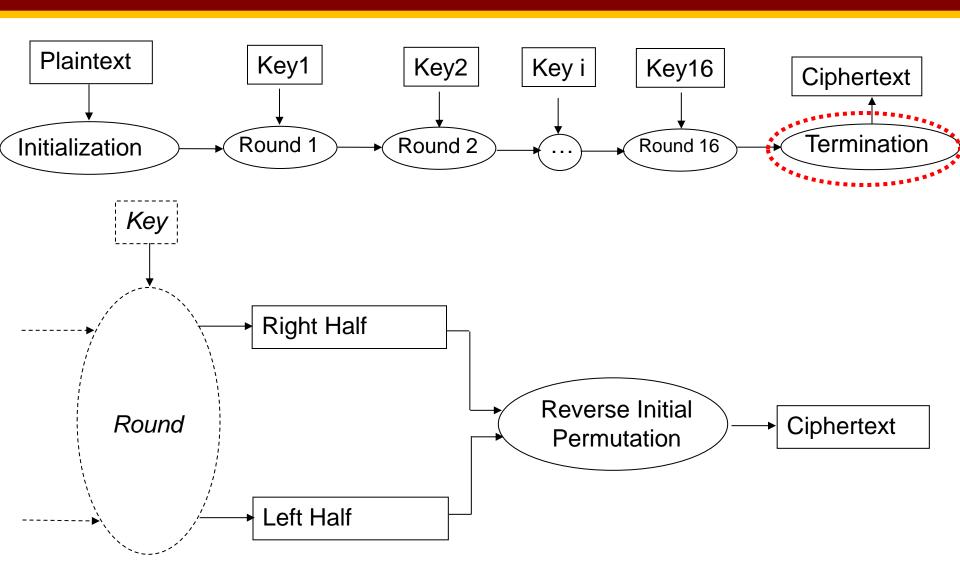
DES is a 64-bit block cipher. Both the plaintext and ciphertext are 64 bits wide.

The key is 64-bits wide, but every eighth bit is a parity bit yielding a 54-bit key.

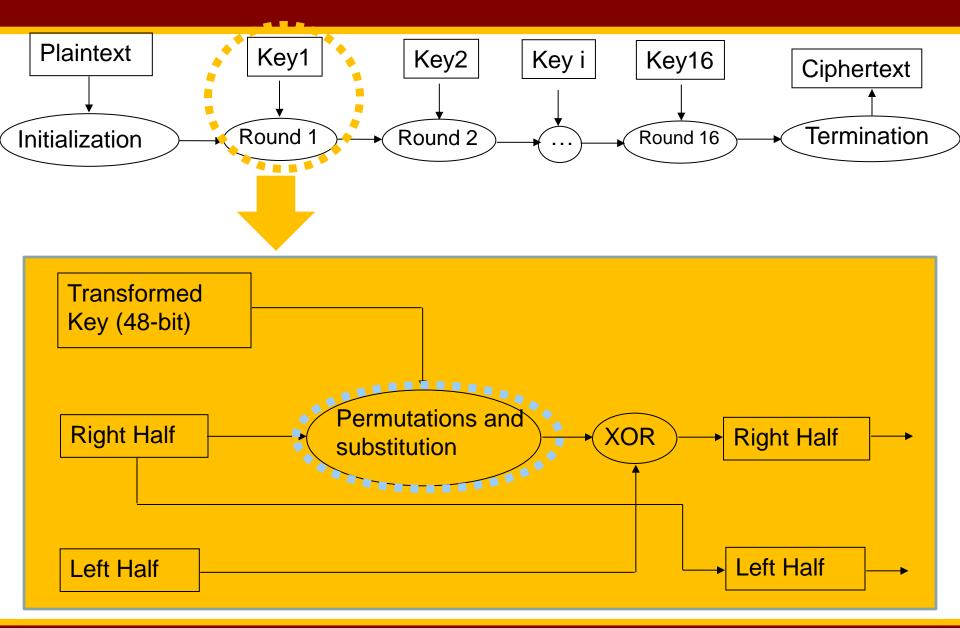
Initialization

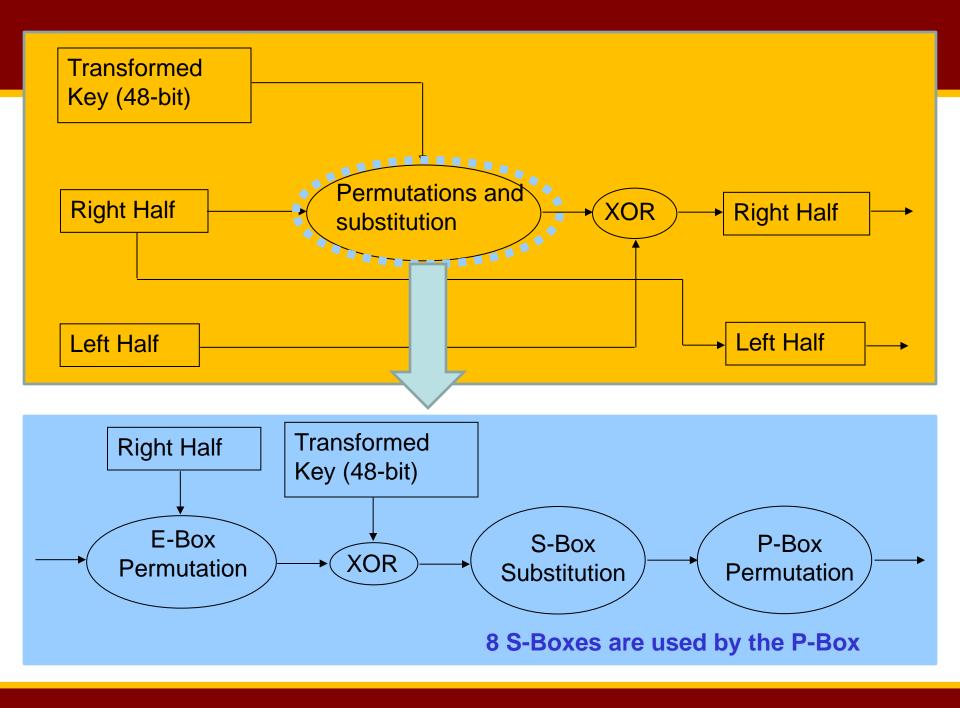


Termination



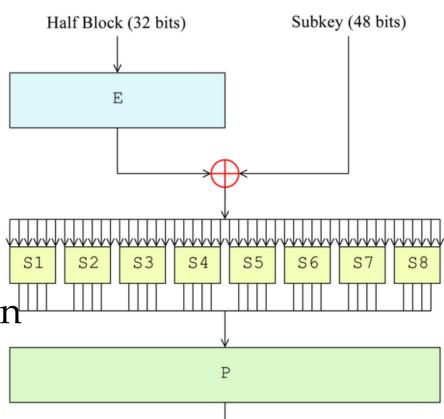
A round





Feistel Function (f function)

- E-box
 - Expansion permutation
 32-bits → 48-bits
- Key mixing
 - XOR with 48-bits subkey
- S-boxes (substitution)
 - Non-linear transformation
- P-box (permutation)
 - Rearrange output with fixed permutation function

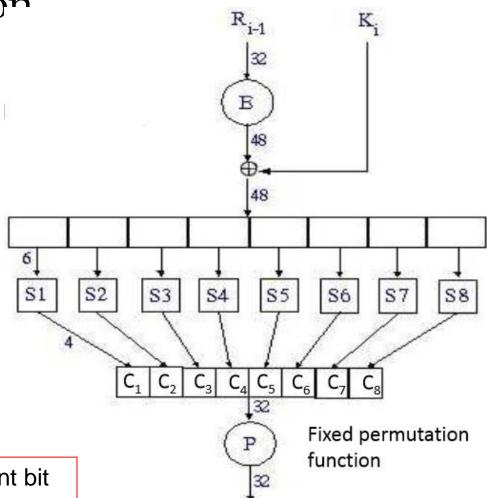


E-box

- Expansion function
 - 32 bits \rightarrow 48 bits

| S ₁ | 32 | 1 | 2 | 3 | 4 | 5 | | | | |
|----------------|----|----|----|----|----|----|--|--|--|--|
| S_2 | 4 | 5 | 6 | 7 | 8 | 9 | | | | |
| S_3 | 8 | 9 | 10 | 11 | 12 | 13 | | | | |
| S_4 | 12 | 13 | 14 | 15 | 16 | 17 | | | | |
| S_5 | 16 | 17 | 18 | 19 | 20 | 21 | | | | |
| S_6 | 20 | 21 | 22 | 23 | 24 | 25 | | | | |
| S ₇ | 24 | 25 | 26 | 27 | 28 | 29 | | | | |
| S ₈ | 28 | 29 | 30 | 31 | 32 | 1 | | | | |
| ···· | | | | | | | | | | |
| | | | | | | | | | | |

Add a copy of the immediately adjacent bit 16 bits appear twice, in the expansion

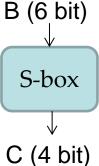


S-box

- Only non-linear transformation in DES, the core of security of DES.
- $B = b_1 b_2 b_3 b_4 b_5 b_6$
 - b_1b_6 \rightarrow row (2²: 0~3)
 - $b_2b_3b_4b_5 \rightarrow column (2^4: 0~15)$
- C = S(row, column)
- E.g.
 B = 1011111
 - C = S(3,7) = 7= 0111
- B = 011011, C=?

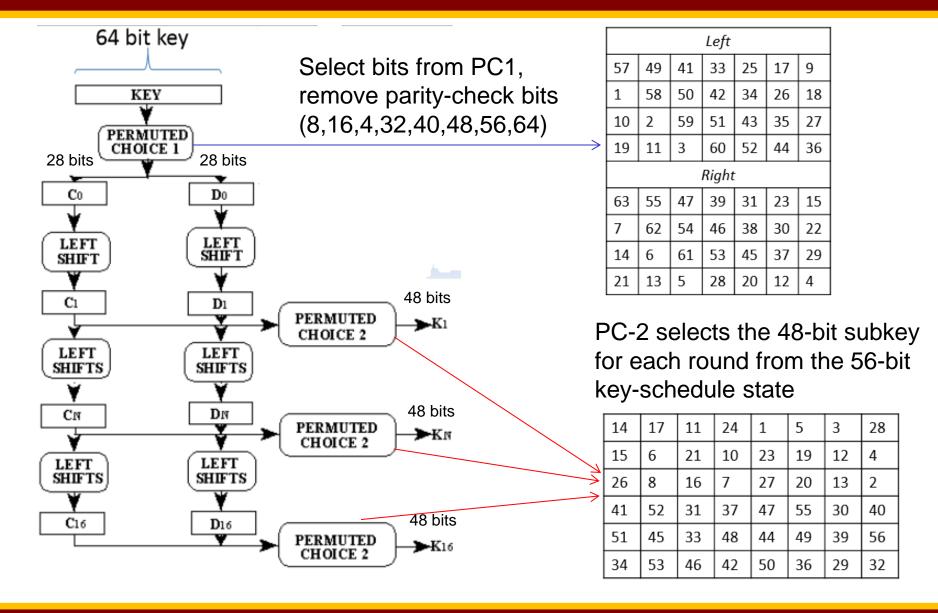
 \mathbf{S}_1 1 2 3 ...

|) | 14 | 4 | 13 | 1 | 2 | 15 | 11 | 8 | 3 | 10 | 6 | 12 | 5 | 9 | 0 | 7 |
|---|----|----|----|---|----|----|----|----|----|----|----|----|----|----|---|----|
| L | 0 | 15 | 7 | 4 | 14 | 2 | 13 | 1 | 10 | 6 | 12 | 11 | 9 | 5 | 3 | 8 |
| 2 | 4 | 1 | 14 | 8 | 13 | 6 | 2 | 11 | 15 | 12 | 9 | 7 | 3 | 10 | 5 | 0 |
| 3 | 15 | 12 | 8 | 2 | 4 | 9 | 1 | 7 | 5 | 11 | 3 | 14 | 10 | 0 | 6 | 13 |

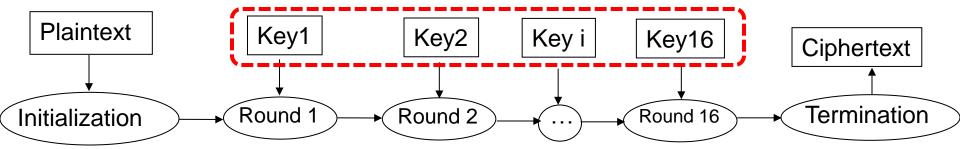


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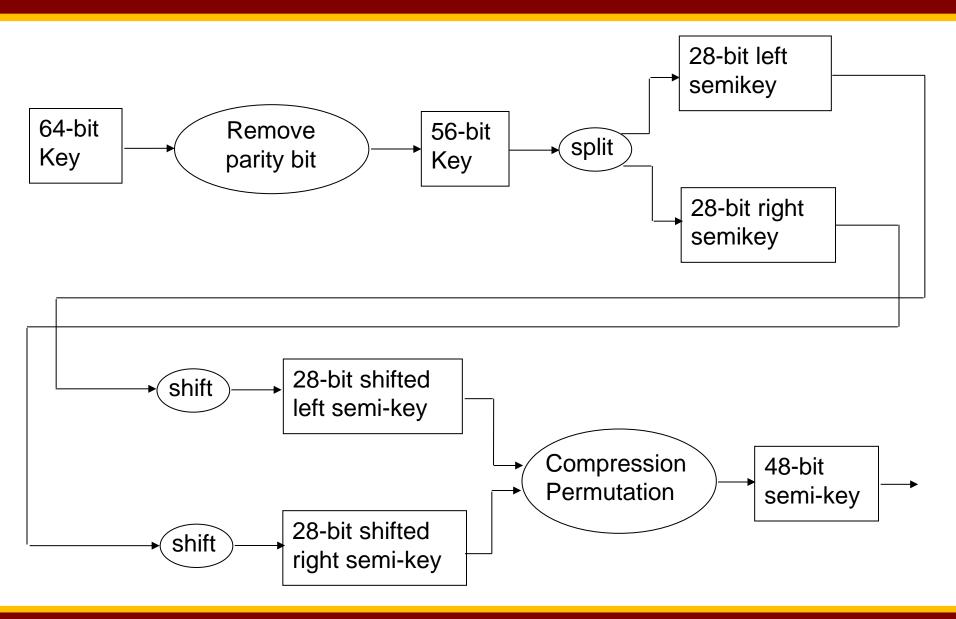
DES Key Generation



Key transform



Key transform



Study simple DES

- 8 bits block with a 10 bits key
- The encryption process is :
 - Initial Permutation
 - Function f_{k1}
 - Switch of the key halves
 - Function f_{k2}
 - Final Permutation (inverse of initial permutation)

DES: security concern

- 56 bit key is too short
 - Can be broken on average in 2^55 ≈3.6*10^16 trials
 - Moore's law: speed of processor doubles per
 1.5 yr
 - 1997: 3500 machines broke DES in about 4 months
 - 1998: 1M dollar machine broke DES in about 4 days

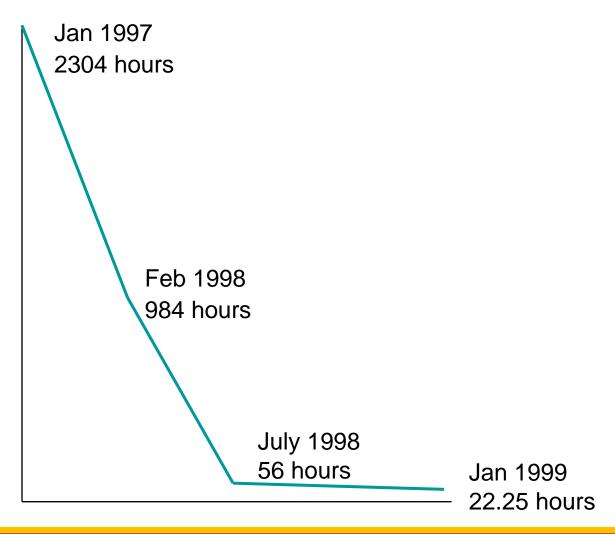
•

DES: security concern

- Weak Keys
 - 56 bit key is too short
 - Can be broken on average in $2^{56} \approx 7.21*10^{16}$ trials
 - Moore's law: speed of processor doubles per 1.5 yr
 - Keys make the same sub-key in more then 1 round.
 - DES has 4 week keys
 - 01010101 01010101
 - FEFEFEFE FEFEFEFE
 - E0E0E0E0 F1F1F1F1
 - 1F1F1F1F 0E0E0E0E
 - Using weak keys, the outcome of the PC1 to sub-keys being either all 0, all 1, or alternating 0-1 patterns.
 - Another problem: $E_{\text{weak-key}}(E_{\text{weak-key}}(x)) = x$.

DES: security concern

Cracking the 56-bit DES Encryption Algorithm



Multiple Encryption & DES

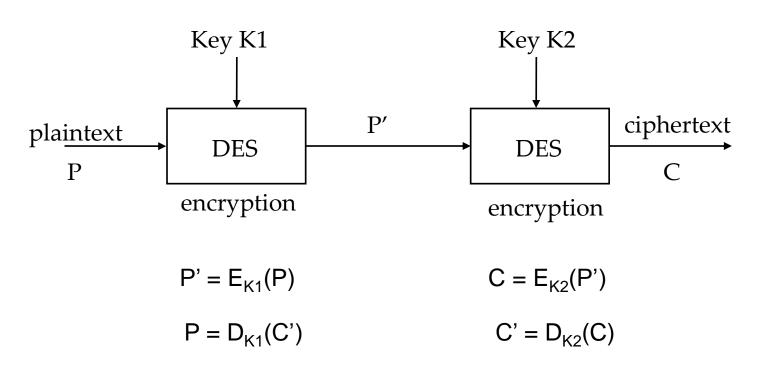
- DES is not secure enough.
- The once large key space, 2^{56} , is now too small.
- In 2001, NIST published the Advanced Encryption Standard (AES) as an alternative.
- But users in commerce and finance are not ready to give up on DES.
- Solution: to use multiple DES with multiple keys

Q: how many times can we use?

A: 2, 3, ...

Double-DES

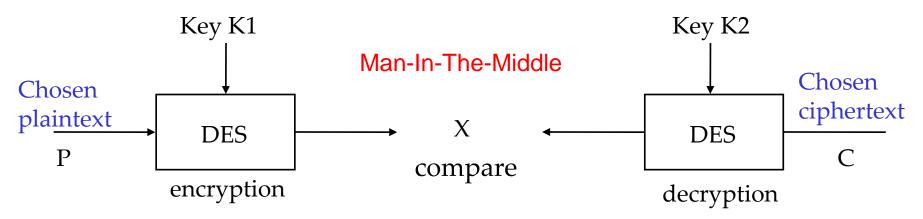
2-DES



Any problem for this scheme?

Attack Double-DES

- 2-DES: $C = E_{K2}(E_{K1}(P))$, $P = D_{K1}(D_{K2}(C))$
- So, $X = E_{K1}(P) = D_{K2}(C)$



(1) try all 2⁵⁶ possible keys for K1

(2) try all 2⁵⁶ possible keys for K2

(3) If $E_{K1'}(P) = D_{K2'}(C)$, try the keys on another (P', C')

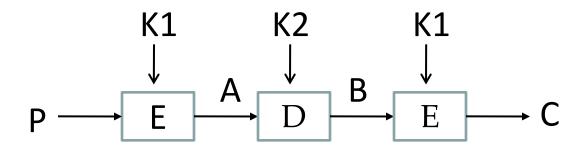
(4) If $E_{K1'}(P') = D_{K2'}(C')$, (K1', K2') = (K1, K2) with high probability

Takes 2x2⁵⁶=2⁵⁷steps; not much more than attacking 1-DES.

Triple-DES with Two-Keys

- hence must use 3 encryptions
 - would seem to need 3 distinct keys
- In practice: $C = E_{K1}(D_{K2}(E_{K1}(P)))$
 - Also referred to as EDE encryption
- Reason:
 - if K1=K2, then 3DES = 1DES. Thus, a 3DES software can be used as a single-DES.
- Standardized in ANSI X9.17 & ISO8732
- No current known practical attacks
 - Q: What about the meet-in-the-middle attack?

Meet-in-the-Middle Attack on 3DES



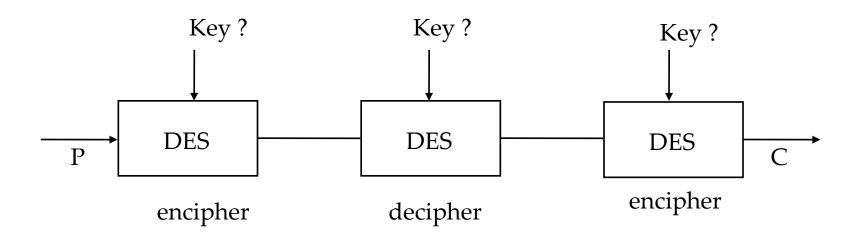
- 1. For each possible key for K1, encrypt P to produce a possible value for A.
- 2. Using this A, and C, attack the 2DES to obtain a pair of keys (K2, K1').
- 3. If K1' = K1, try the key pair (K1, K2) on another (C', P').
- 4. If it works, (K1, K2) is the key pair with high probability.
- 5. It takes $O(2^{55} \times 2^{56}) = O(2^{111})$ steps on average.

Triple-DES with Three-Keys

- Encryption: $C = E_{K3}(D_{K2}(E_{K1}(P))).$
- If K1 = K3, we have 3DES with 2 keys.
- If K1 = K2 = K3, we have the regular DES.
- So, 3DES w/ 3keys is backward compatible with 3DES w/ 2 keys and with the regular DES
- Some internet applications have adopted 3DES with three keys.
 - E.g., PGP (pretty good privacy) and S/MIME (Secure/Multipurpose Internet Mail Extensions).

Triple-DES

Triple DES



With two keys: $E_{K1}(D_{K2}(E(_{K1}(M))) = C$

With three keys: $E_{K1}(D_{K2}(E_{K3}(M))) = C$

AES (Advanced Encryption Standard)

AES

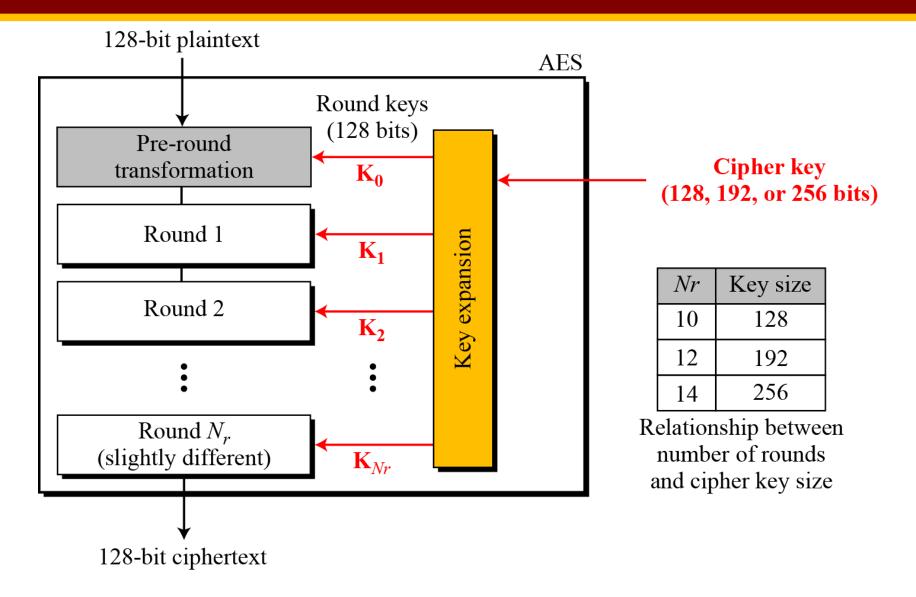
- DES cracked, Triple-DES slow: what next?
- 1997 NIST called for algorithms
- Final five
 - Rijndael (Two Belgians: Joan Daemen, Vincent Rijmen),
 - Serpent(Ross Anderson),
 - Twofish(Bruce Schneier),
 - RC6(Don Rivest, Lisa Yin),
 - MARS (Don Coppersmith, IBM)
- 2000 Rijndael won
- 2002 Rijndael became AES

Overview of AES

- Based on a design principle known as substitution-permutation network (SPN)
- Block length is limited to 128 bit
- The key size can be independently specified to 128, 192 or 256 bits

| Key size (words/bytes/bits) | 4/16/128 | 6/24/192 | 8/32/ 256 |
|--------------------------------|----------|----------|------------------|
| Number of rounds | 10 | 12 | 14 |
| Expanded key size (words/byte) | 44/176 | 52/208 | 60/240 |

General design of AES encryption cipher

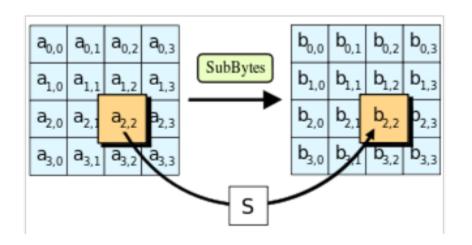


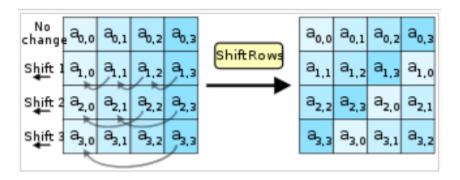
AES

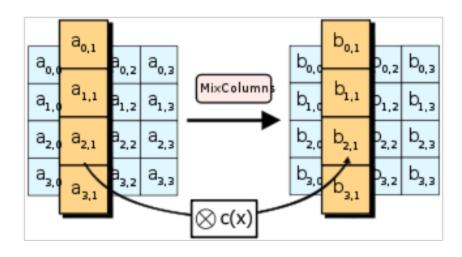
- Each round uses 4 functions
 - ByteSub (nonlinear layer) :
 - referred to as an S-box; byte-by-byte substitution
 - ShiftRow (linear mixing layer)
 - A simple permutation row by row
 - MixColumn (nonlinear layer)
 - A substitution that alters each bye in a column as function of all of the bytes in column
 - AddRoundKey (key addition layer)
 - A simple bitwise XOR of the current block with a portion of the expanded key

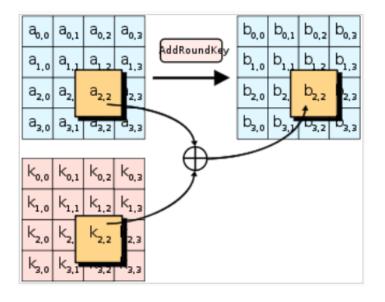
http://www.cs.bc.edu/~straubin/cs381-05/blockciphers/rijndael_ingles2004.swf

AES 4 Steps









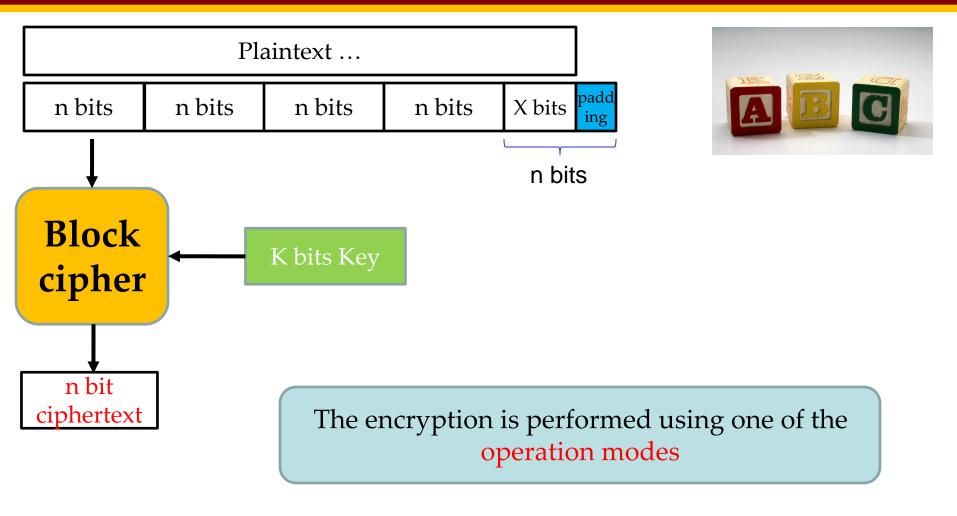
DES vs. AES

| | DES | AES | |
|--------------------------|---------------------------|--|--|
| Date | 1976 | 1999 | |
| Block size | 64 | 128 | |
| Key length | 56 | 128, 192, 256 | |
| Number of rounds | 16 | 10,12,14 | |
| Encryption primitives | Substitution, permutation | Substitution, shift, bit mixing | |
| Cryptographic primitives | Confusion, diffusion | Confusion, diffusion | |
| Structure | Feistel | SPN(substitution-permutation network) | |
| Design | Open | Open | |
| Design rationale | Closed | Open | |
| Selection process | Secret | Secret, but accept open public comment | |
| Source | IBM, enhanced by NSA | Independent cryptographers | |

Modes of operation

Q: If block size is bigger than 64 bits in case of using DES?

Block cipher



Common block sizes: n = 64, 128, 256 bits

Common key sizes: k = 40, 56, 64, 80, 128,168, 192, 256 bits

Modes of Operation

- block ciphers encrypt fixed size blocks
 - e.g., DES encrypts 64-bit blocks with 56-bit key
- need some way to en/decrypt arbitrary amounts of data in practice
- ANSI X3.106-1983 Modes of Use (now FIPS 81) defines 4 possible modes
- subsequently 5 defined for AES & DES
- have block and stream modes

Modes of Operation

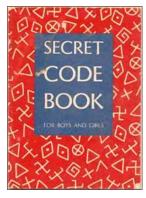
- **ECB** Electronic Code Book
- CBC Cipher Block Chaining Most popular
- OFB Output Feed Back
- CFB Cipher Feed Back
- CTR Counter

Electronic Codebook Book (ECB)

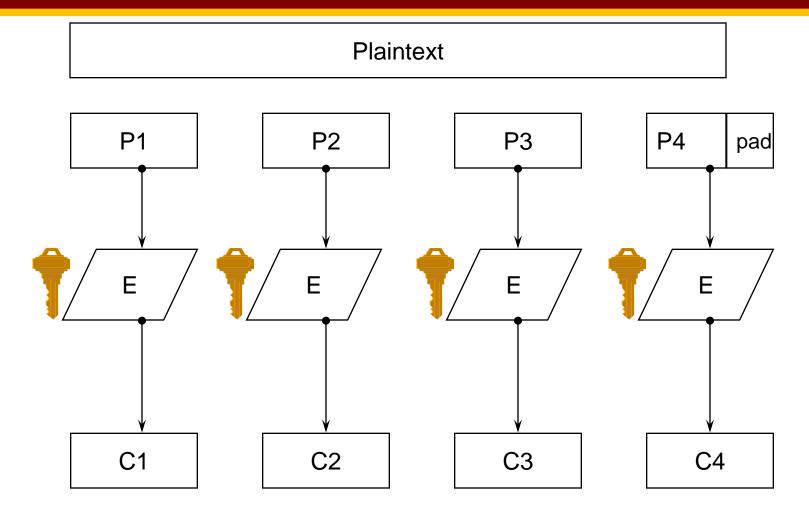
- Message (plaintext) is broken into independent blocks
- Each block is encrypted independently of the other blocks

$$C_i = DES_{K1}(P_i)$$

- Each block is a value which is substituted, and then encrypted like using a codebook.
 - If the same message (e.g., your IRD #) is encrypted (with the same key) and sent twice, their ciphertexts are the same.
 - uses: secure transmission of single values

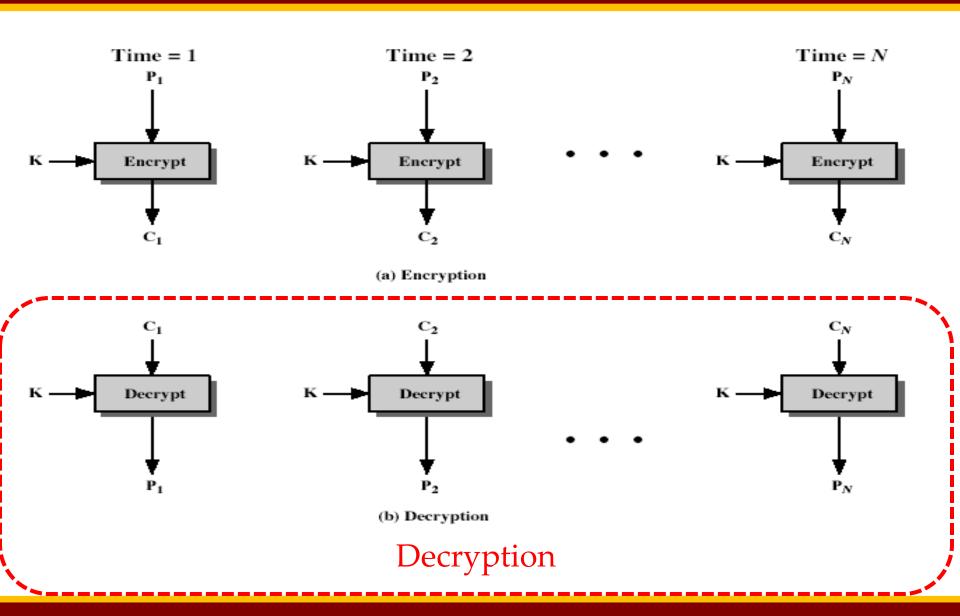


Electronic Codebook Book mode



Pad last block, if necessary

ECB (both encryption/decryption)



Advantages and Limitations of ECB

- Message repetitions may show in ciphertext
 - if aligned with message block
 - particularly with data such graphics
 - or with messages that change very little, which become a code-book analysis problem
- Weakness is due to the encrypted message blocks being independent
- Main use is sending a few blocks of data

Any ideas to overcome the ECB mode?

Cipher Block Chaining (CBC)

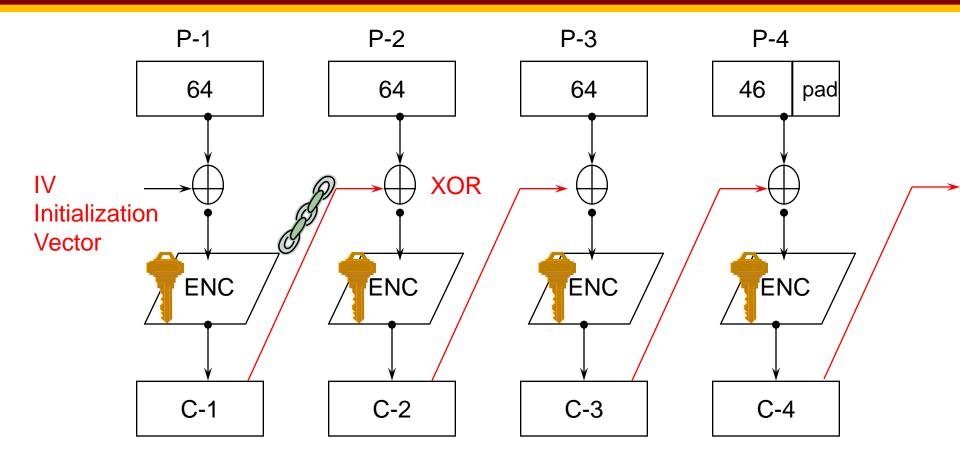
- message is broken into blocks
- linked together in encryption operation
- each previous cipher blocks is chained with current plaintext block
- use Initial Vector (IV) to start process

```
C_i = DES_{K1} (P_i XOR C_{i-1})

C_{-1} = IV
```

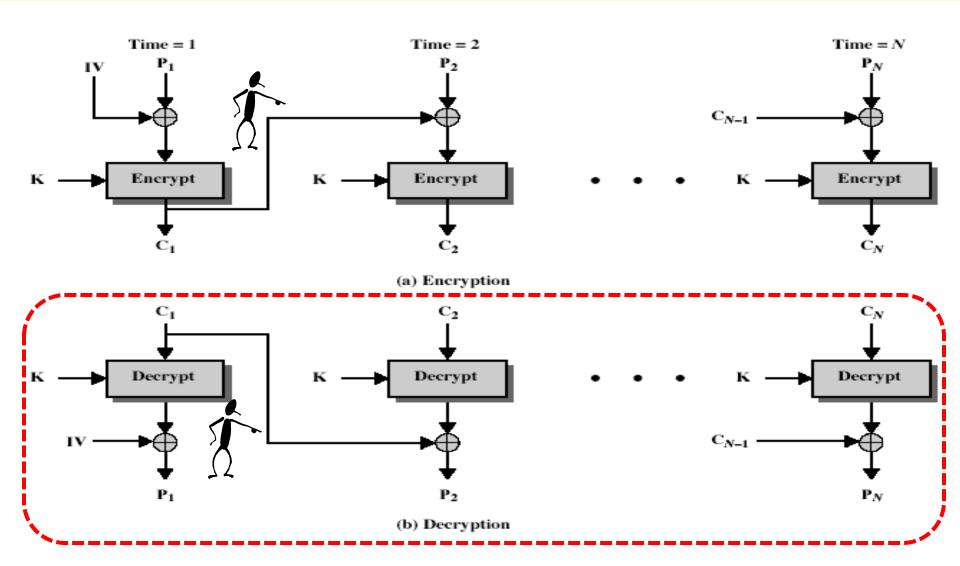
- uses: general block oriented transmission
 - e.g., IPsec uses 3DES-CBC, AES-CBC

Cipher Block Chaining (CBC)



- Pad last block, if necessary
- Random Block called IV is required to be random/pseudo random.

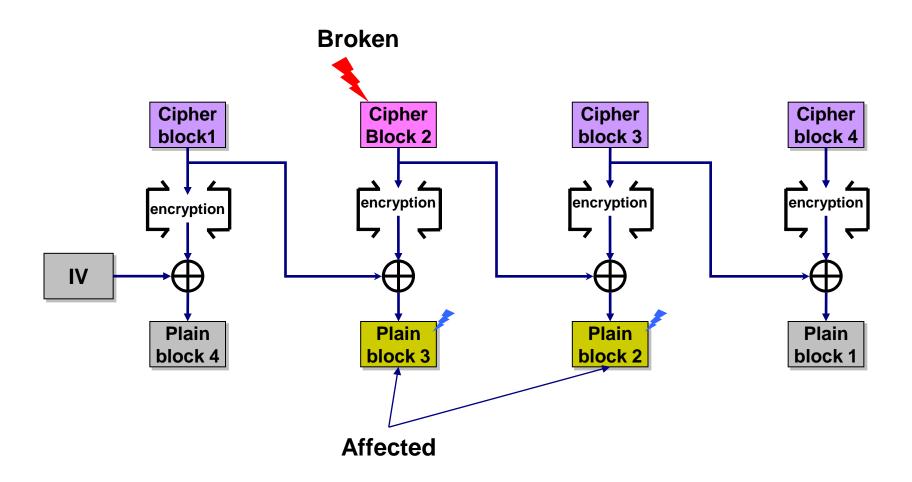
Cipher Block Chaining (CBC): E/D



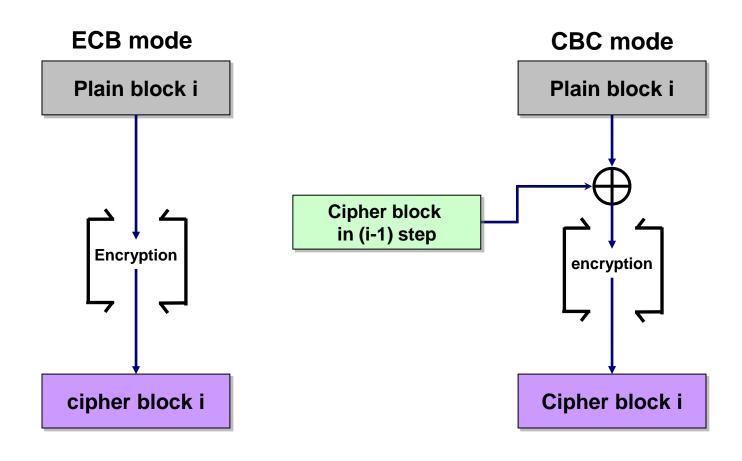
Advantages and Limitations of CBC

- A ciphertext block depends on all blocks before it
- So, repeated plaintext blocks are encrypted differently.
- need Initialization Vector (IV)
 - must be known to sender & receiver
 - if sent in clear, attacker can change bits of first block, and change IV to compensate, hence IV must either be a fixed value (Integrity of IV should be guaranteed)
 - or must be sent encrypted in ECB mode before rest of message

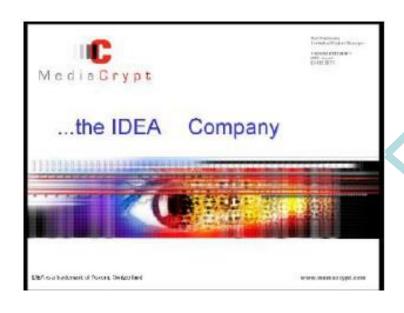
Error propagation in CBC



ECB vs. CBC mode



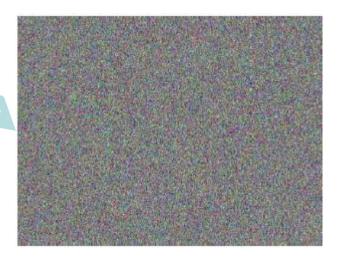
ECB vs. CBC mode



ECB

CBC





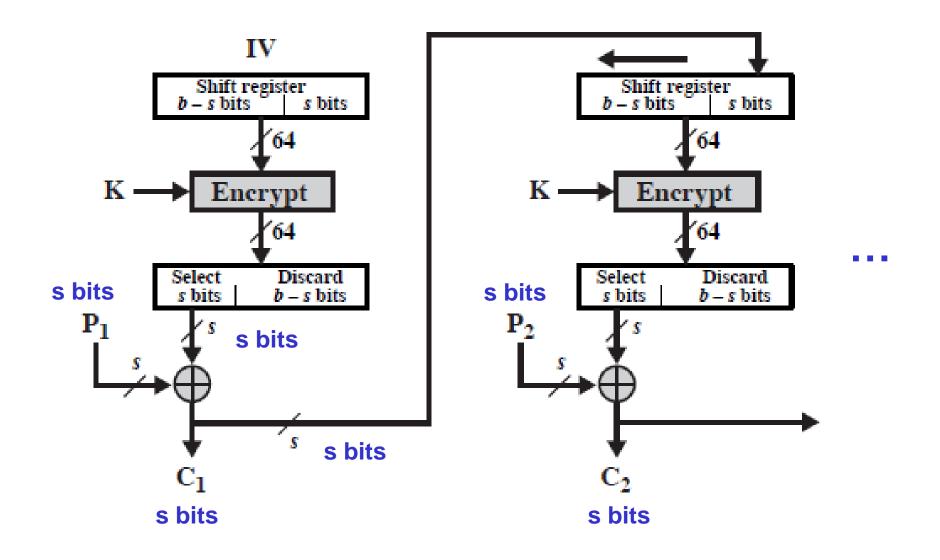
Cipher Feed back (CFB) Mode

- The plaintext is divided into segments of *s* bits (where $s \le block$ -size): P_1 , P_2 , P_3 , P_4 , ...
- Encryption is used to generate a sequence of keys, each of s bits: K_1 , K_2 , K_3 , K_4 , ...
- The ciphertext is C_1 , C_2 , C_3 , C_4 , ..., where $C_i = P_i \oplus K_i$

Cipher Feed back (CFB) Mode

- Uses cipher block used in the previous step as input of cipher in the next step
- What does it mean "feedback"?
 - Cipher is used as input of the cipher

Cipher Feed Back (CFB): Encryption

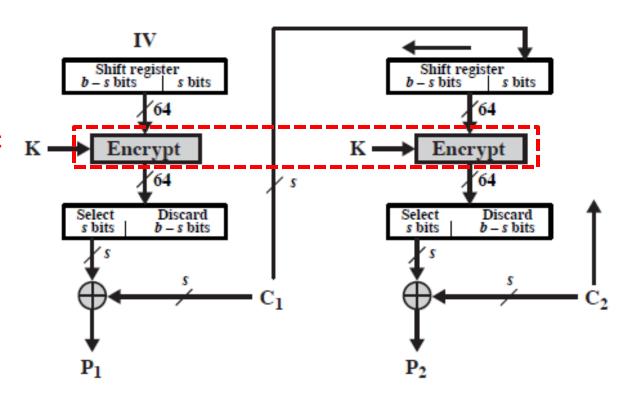


Cipher Feed Back (CFB): Decryption

- Generate key stream K_1 , K_2 , K_3 , K_4 , ... the same way as for encryption.
- Then decrypt each ciphertext segment as:

$$P_i = C_i \oplus K_i$$

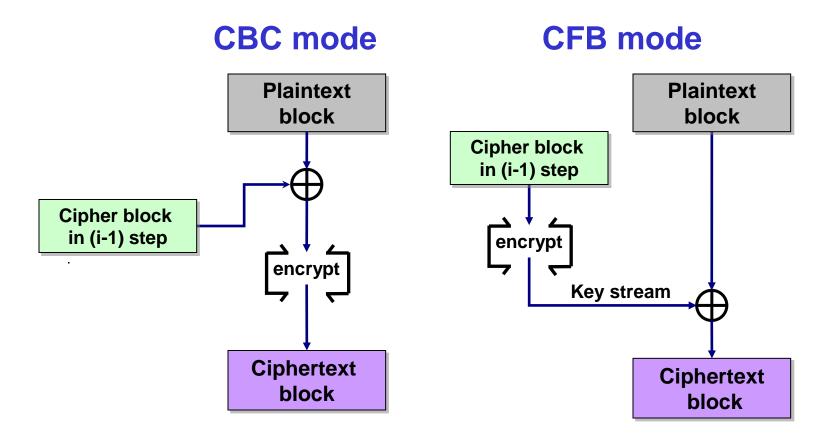
It does not decrypt but encrypt



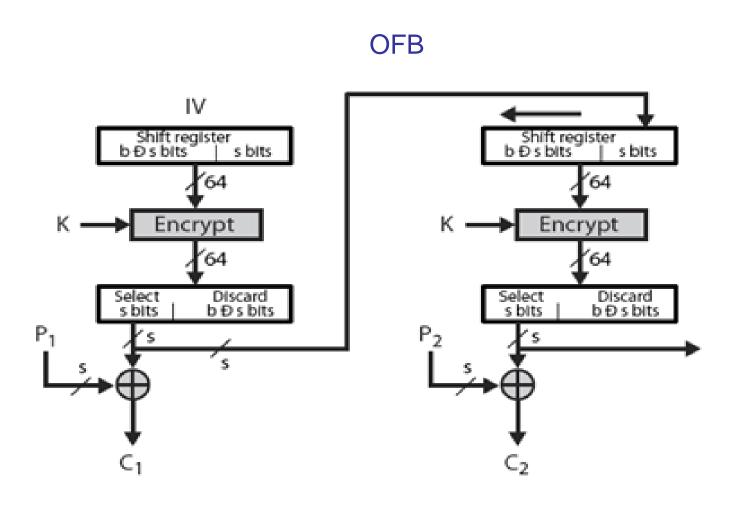
Cipher Feed Back (CFB)

- The block cipher is used as a stream cipher.
- Appropriate when data arrives in bits/bytes.
 - s can be any value; a common value is s = 8.
 - standard allows any number of bit (1, 8, 64 or 128 etc) to be feed back denoted CFB-1, CFB-8, CFB-64, CFB-128 etc
- A ciphertext segment depends on the current and all preceding plaintext segments.
- A corrupted ciphertext segment during transmission will affect the current and next several plaintext segments.

CBC vs. CFB



Output Feed Back (OFB) mode



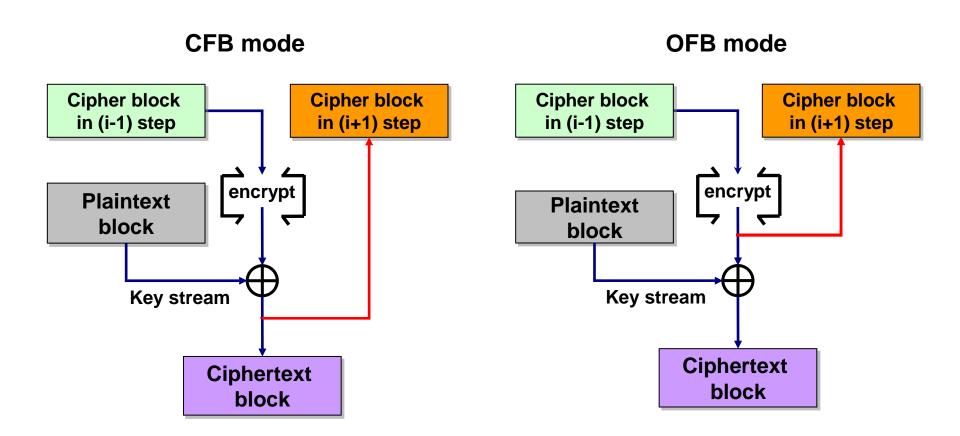
Output Feed Back (OFB) mode

- message is treated as a stream of bits (s bits)
- output of cipher is added to message
- output is then feed back
- feedback is independent of message
- can be computed in advance

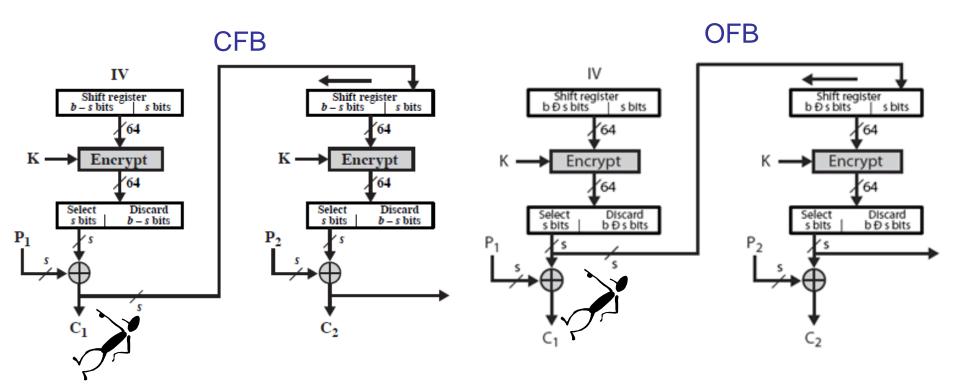
```
C_i = P_i XOR O_i
O_i = DES_{K1} (O_{i-1})
O_{-1} = IV
```

 uses: stream encryption on noisy channels (e.g., satellite TV transmissions etc)

CFB vs. OFB



CFB vs. OFB (contd)



Advantages and Limitations of OFB

- bit errors do not propagate
- more vulnerable to message stream modification
- a variation of a Vernam cipher
 - hence must never reuse the same sequence (key+IV);
 - otherwise 2 ciphertexts can be combined, cancelling these bits
- sender & receiver must remain in sync

Vernam cipher: the plaintext is XORed with a random or pseudorandom stream of data (the "keystream") of the same length to generate the ciphertext

Counter (CTR)

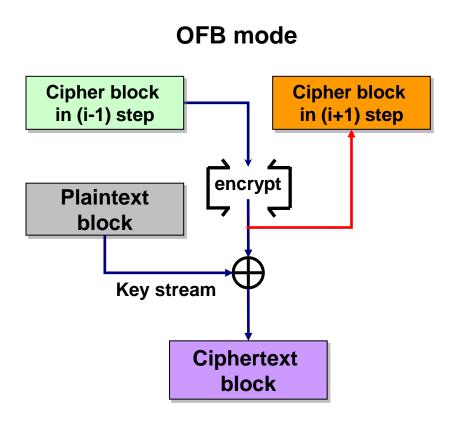
- a "new" mode, though proposed early on
- similar to OFB but encrypts counter value rather than any feedback value
- must have a different key & counter value for every plaintext block (never reused)

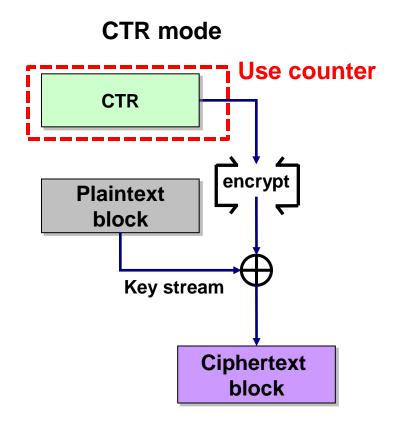
```
C_{i} = P_{i} XOR O_{i}
O_{i} = DES_{K1}(i)
```

- uses: high-speed network encryptions
 - e.g., AES-CTR (i.e., AES in CTR mode)

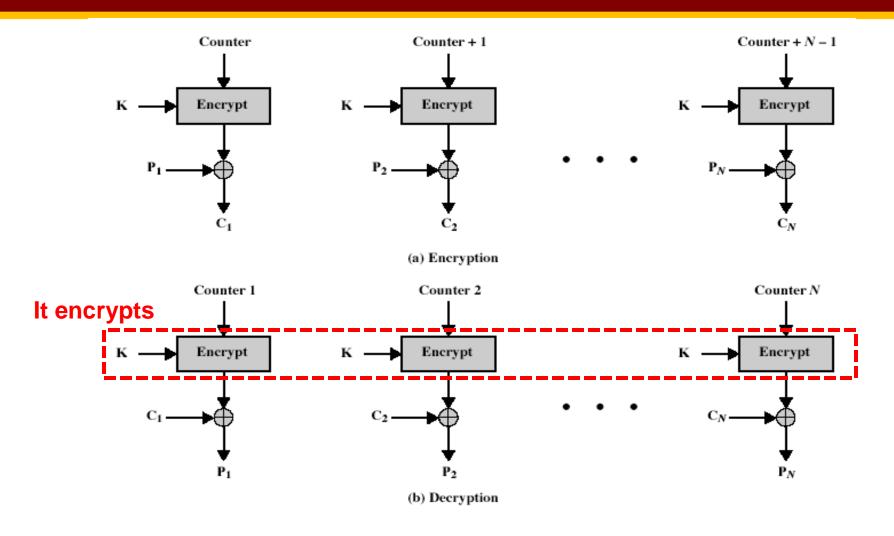
OCB (Offset Codebook Mode) (Counter Mode)
[new] Ref: P Rogaway, OCB Mode, http://csrc.nist.gov/encryption/aes

OFB vs. CTR mode





Counter (CTR)



Q: how to generate counter?

CTR

- A counter T is initialized to some IV (nonce) and then incremented by 1 for each subsequent plaintext block.
- Counter example (128 bits/16 bytes).

66 1F 98 CD 37 A3 8B 4B 00 00 00 00 00 00 00 01

Nonce (an arbitrary number)

Block number

- 66 1F 98 CD 37 A3 8B 4B 00 00 00 00 00 00 00 01 (initial)
- o 66 1F 98 CD 37 A3 8B 4B 00 00 00 00 00 00 00 02 (counter 2)
- o 66 1F 98 CD 37 A3 8B 4B 00 00 00 00 00 00 03 (counter 3)
- 66 1F 98 CD 37 A3 8B 4B 00 00 00 00 00 00 04 (counter 4)

:

Advantages and Limitations of CTR

- Needs only the encryption algorithm (so do CFB and OFB)
- Fast encryption/decryption;
 - blocks can be processed (encrypted or decrypted) in parallel in SW/HW; good for high speed links
- random access to encrypted data blocks
- provable security (good as other modes)
- but as in OFB, must ensure never reuse key/counter values, otherwise could break

Modes of Operation: summary

- ECB Electronic Code Book Don't use
- CBC Cipher Block Chaining Most popular, e.g., DES-CBC

Use CTR

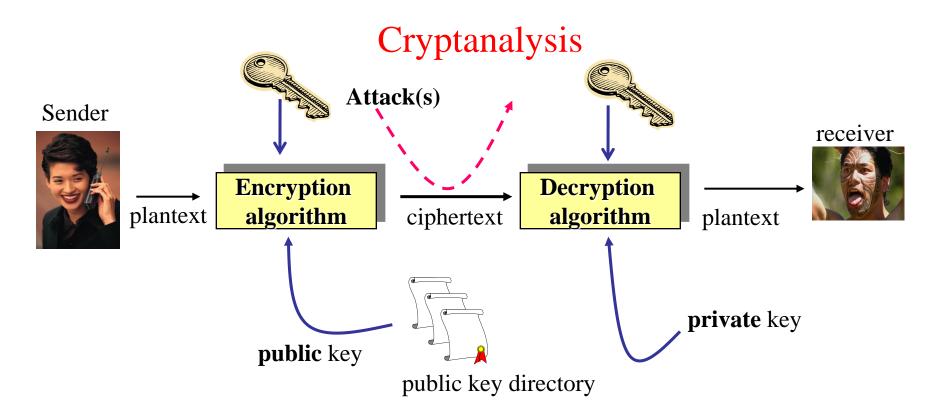
- **OFB** Output Feed Back
- **CFB** Cipher Feed Back
- CTR Counter e.g., AES-CTR

Q: What security objective does this provide?

A: Confidentiality

Q: How do we know the encryption (block cipher) is secure?

Cryptanalysis



Cryptanalysis (cont'd)

- objective to recover key not just message
- general approaches:
 - cryptanalytic attack
 - brute-force attack

Breaking Ciphers

- Ciphertext only (COA, Known-ciphertext)
 - Attacker can only access to a set of ciphertext
- Known plaintext (KPA)
 - know/suspect plaintext & ciphertext
- Chosen plaintext (CPA)
 - select plaintext to be encrypted and obtain ciphertext
- Chosen ciphertext
 - select ciphertext and obtain plaintext under an unknown key
- Chosen text
 - select plaintext or ciphertext to en/decrypt

Ciphertext-only attack

| Known to attacker | C ₁ , C ₂ ,, C _n |
|-------------------|--|
| Objective | 1) P ₁ , P ₂ ,, P _n |
| | 2) Key K |
| | 3) Algorithm: $C_{n+1} \rightarrow P_{n+1}$ |

Ciphertexts generated using the same key

Find an algorithm that can decrypt any message encrypted using the key *K*.

Known-plaintext attack

| Known to attacker | $(P_{1,}C_{1}), (P_{2,}C_{2}), (P_{n,}C_{n}),$ | |
|-------------------|--|--|
| | 1) Key K | |
| Objective | 2) Algorithm: $C_{n+1} \rightarrow P_{n+1}$ | |

Attacker cannot select these pairs

Chosen-plaintext attack

Attackers **can select** P_1 , P_2 , ..., P_n before the attack begins and **cannot** obtain additional pair after the attack has begun.

| Known to attacker | $(P_{1,}C_{1}), (P_{2,}C_{2}),,(P_{n,}C_{n}),$ | |
|-------------------|--|--|
| 01:: | 1) Key K | |
| Objective | 2) Algorithm: $C_{n+1} \rightarrow P_{n+1}$ | |

Chosen-ciphertext attack

Attackers **can** select C_1 , C_2 , ..., C_n before the attack begins.

| Known to attacker | $(P_{1}, C_{1}), (P_{2}, C_{2}), (P_{n}, C_{n}),$ | | | | |
|-------------------|---|--|--|--|--|
| Oleinatira | 1) Key K | | | | |
| Objective | 2) Algorithm: $C_{n+1} \rightarrow P_{n+1}$ | | | | |

This attack is used against **public key algorithm**. Attacker can by itself generate the ciphertexts using the public key of the target.

Result of Attacks

- Total break:
 - found the key

- Objective
- 1) Key K
- 2) Algorithm: $C_{n+1} \rightarrow P_{n+1}$

- Global deduction:
 - Was not successful in finding the key, but successful in finding an algorithm that can decrypt any ciphertexts of the target.
- Instance deduction:
 - Obtained some plaintexts from some ciphertexts.
- Information deduction:
 - Obtained a partial bits of plaintext of partial bits of the target key

Secureness of an cipher

Computational secure

- Cost of breaking the cipher exceeds the value of the encrypted information (e.g., 1 million NZD cost vs. 1000 NZD secret)
- The time required to break the cipher exceeds the useful lifetime of the information (e.g., 1 month to break the all black's tactics)

Provably secure:

- the security of the system can be proven to be equivalent to a hard problem
- Unconditional security
 - Even if the attacker has infinite amount of computing resource, the attacker cannot succeed in cryptanalyzing the algorithm
 - Only one-time pad is proven to be unconditionally secure

Brute Force Search

- always possible to simply try every key
 - e.g., PIN number (0000)
- most basic attack, proportional to key size
- assume either know / recognise plaintext

| | Key Size (bits) | Number of Alternative Keys | Time required at 1 decryption/µs | Time required at 10 ⁶ decryptions/µs |
|----|-----------------------------|--------------------------------|---|---|
| | 32 | $2^{32} = 4.3 \times 10^9$ | $2^{31} \mu s = 35.8 \text{ minutes}$ | 2.15 milliseconds |
| [] | 56 | $2^{56} = 7.2 \times 10^{16}$ | $2^{55} \mu s = 1142 \text{ years}$ | 10.01 hours |
| | 128 | $2^{128} = 3.4 \times 10^{38}$ | $2^{127} \mu s = 5.4 \times 10^{24} \text{ years}$ | 5.4×10^{18} years |
| | 168 | $2^{168} = 3.7 \times 10^{50}$ | $2^{167} \mu s = 5.9 \times 10^{36} \text{ years}$ | $5.9 \times 10^{30} \text{years}$ |
| | 26 characters (permutation) | $26! = 4 \times 10^{26}$ | $2 \times 10^{26} \mu s = 6.4 \times 10^{12}$ years | $6.4 \times 10^6 \text{ years}$ |

Q: Is DES computationally secure?

Q: Why do we need public key encryptions?

