

CSE 412/598 DATABASE MANAGEMENT COURSE NOTES

6. QUERY OPTIMIZATION

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QUERY TREE

a tree structure representing a relational algebra expression leaf node - base relation internal node - result of a relational algebra operation

Consider the following schema and query: lives(PERSON,STREET,CITY,STATE,ZIP) works(PERSON,COMPANY,SALARY,POSITION) located(COMPANY,CCITY,CSTATE,CZIP)

Find the name and city of all people who earn more than 25,000 and work for a company located in the state of NY.

 $\pi_{\text{PERSON, CITY}} \sigma_{\text{SALARY>25,000 A CSTATE='NY'}}$ ((lives works) located)

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QUERY OPTIMIZATION

Transform the query as entered by the user into an equivalent query that can be computed more efficiently.

Algebraic expressions may be transformed into equivalent expressions using transformation rules that preserve equivalence. These transformation rules are based on commutative properties of the relational algebra operators and conditions on the expression to be transformed.

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TRANSFORMATION RULES σ and π

Cascade of σ :

 $\sigma_{C1 \text{ and } C2 \text{ and } \dots \text{ and } Cn}(R) \equiv \sigma_{C1} \left(\sigma_{C2} \left(\dots \left(\sigma_{Cn} \left(R \right) \right) \dots \right) \right)$

Commutativity of σ : $\sigma_{C1} (\sigma_{C2} (\mathbf{R})) \equiv \sigma_{C2} (\sigma_{C1} (\mathbf{R}))$

Cascade of π : $\pi_{\text{list1}} (\pi_{\text{list2}} (\dots (\pi_{\text{listn}} (\mathbf{R})) \dots)) = \pi_{\text{list1}} (\mathbf{R})$

Commuting σ with π : if C involves only attributes A₁, ..., A_n in the π list, they can be commuted. $\pi_{A1, A2, ..., An} (\sigma_C (\mathbf{R})) \equiv \sigma_C (\pi_{A1, A2, ..., An} (\mathbf{R}))$

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TRANSFORMATION RULES \bowtie and \times

Commutativity of \bowtie (or ×): $\mathbf{R} \bowtie_{\mathbf{C}} \mathbf{S} \equiv \mathbf{S} \bowtie_{\mathbf{C}} \mathbf{R}$

Commuting σ with \bowtie (or \times): if the attributes in C involve only the attributes of R: $\sigma_{C} (\mathbf{R} \bowtie \mathbf{S}) \equiv (\sigma_{C} (\mathbf{R})) \bowtie \mathbf{S}$ if c is (c1 and c2) and c1 applies to R and c2 to S: $\sigma_{C} (\mathbf{R} \bowtie \mathbf{S}) \equiv (\sigma_{C1} (\mathbf{R})) \bowtie (\sigma_{C2} (\mathbf{S}))$

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TRANSFORMATION RULES and ×

Commuting π with \bowtie (or ×):

Let $A_1, ..., A_n$ be attributes of R, $B_1, ..., B_m$ be attributes of S, $L = \{A_1, ..., A_n, B_1, ..., B_m\}$

If C involves only the attrs of L:

 $\pi_{L} (\mathbf{R} \bowtie_{\mathbf{c}} \mathbf{S}) \equiv (\pi_{A1, \dots, An} (\mathbf{R})) \bowtie_{\mathbf{c}} (\pi_{B1, \dots, Bm} (\mathbf{S}))$ If C contains additional attributes not in L, these must be added to the π list and a final π is needed. e.g:

$$\pi_{L} (\mathbf{R} \bowtie_{c} \mathbf{S}) \equiv \pi_{L}((\pi_{A1,\dots,An,An+1,\dots,An+k}(\mathbf{R})) \bowtie_{c} (\pi_{B1,\dots,Bm,Bm+1,\dots,Bm+p} (\mathbf{S})))$$

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TRANSFORMATION RULES \cup and \cap

Commutativity of set operations: \cup and \cap are commutative. $(R \cup S) \equiv (S \cup R)$ $(R \cap S) \equiv (S \cap R)$

Associativity of \bowtie , ×, \cup , and \cap : They are individually associative. Let θ be \bowtie , ×, \cup , or \cap , then (**R** θ **S**) θ **T** = **R** θ (**S** θ **T**)

10. Commuting σ with set operations \cup and \cap . Let θ be \cup or \cap , then $\sigma_{C} (\mathbf{R} \theta \mathbf{S}) \equiv (\sigma_{C} (\mathbf{R})) \theta (\sigma_{C} (\mathbf{S}))$

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TRANSFORMATION RULES \cup and \cap

11. Commuting π with set operations:

The π operation commutes with \cup and \cap .

Let θ be \cup or \cap , then

 $\pi_{L} (\mathbf{R} \ \theta \ \mathbf{S}) \equiv (\pi_{L} (\mathbf{R})) \ \theta \ (\pi_{L} (\mathbf{S}))$

12. Additional logic transformations: $(\forall x)(P(x)) \equiv (\exists x)(not (P(x)))$ $(\exists x)(P(x)) \equiv not (\forall x)(not (P(x)))$ $(\forall x)(P(x) \text{ and } Q(x)) \equiv (\exists x)(not (P(x)) \text{ or not } (Q(x)))$ $(\forall x)(P(x) \text{ or } Q(x)) \equiv (\exists x)(not (P(x)) \text{ and not } (Q(x)))$ $(\exists x)(P(x) \text{ or } Q(x)) \equiv not (\forall x)(not (P(x)) \text{ and not } (Q(x)))$ $(\exists x)(P(x) \text{ and } Q(x)) \equiv not (\forall x)(not (P(x)) \text{ or not } (Q(x)))$

HEURISTIC ALGEBRAIC OPTIMIZATION ALGORITHM

- Cascade selection
- (rule 1)
- Move selections as far down the tree as possible (rules 2,4,6,10)
- Rearrange leaf nodes to get smaller intermediate relations (rule 9)
- Combine a \times with σ to yield a \bowtie , if possible
- Cascade projections and push down the tree (rules 3,4,7,11)
- Identify common subexpressions

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QUERY OPTIMIZATION EXAMPLE



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Estimation of Query-Processing Cost

- Databases store the following statistics for each relation:
 - n_r, the number of tuples in relation r
 - V(A, r), the number of distinct values that appear in the relation r for attribute A
- Then using the above statistics, sizes of various query expressions can be estimated as follows:
 - $|\mathbf{r} \mathbf{x} \mathbf{s}| = \mathbf{n}_{\mathbf{r}} \mathbf{n}_{\mathbf{s}}$
 - $|\sigma_A(\mathbf{r})| = n_r / V(A, \mathbf{r})$, assuming uniform value distribution
 - $|r \bowtie_{\theta} s| \le |s|$, if $R \cap S$ is a key for r
 - $|r \bowtie_{\theta} s| = MIN \{ (n_r n_s / V(A, r)), (n_r n_s / V(A, s)) \}, \text{ if } R \cap S = \{A\}$

STUDY PROBLEM

Consider the following query that finds the names and salaries of employees working on the 'ProductX' project:

Assume: $n_{employee} = 100$; $n_{works_on} = 100$; $n_{project} = 10$;

 $\pi_{\text{fname, lname, salary}}$ ($\sigma_{\text{ssn=essn \& pno=pnumber \& pname='ProductX'}}$ ((employee × works on) × project))

Give the initial query tree. Show the successive query trees generated during the query optimization process. Give a brief justification at each step.

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Query: