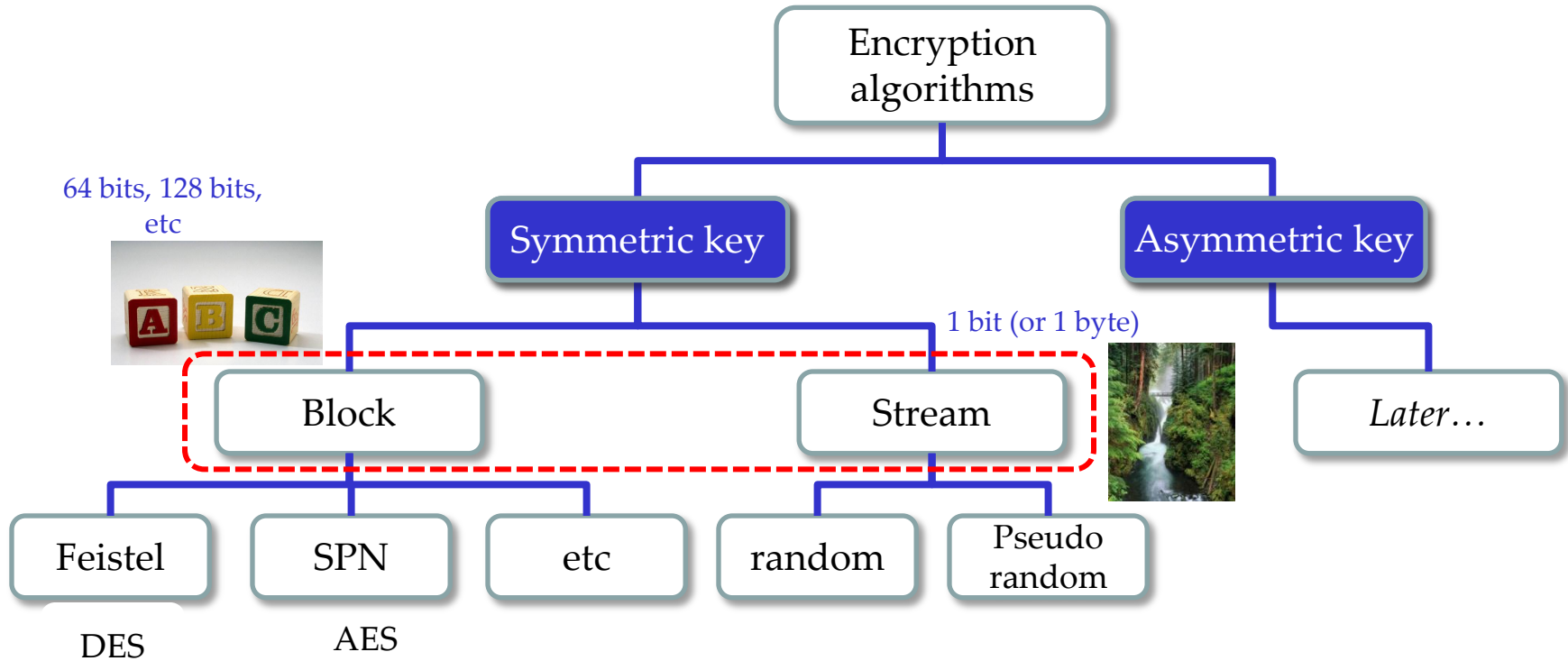


# DES and AES

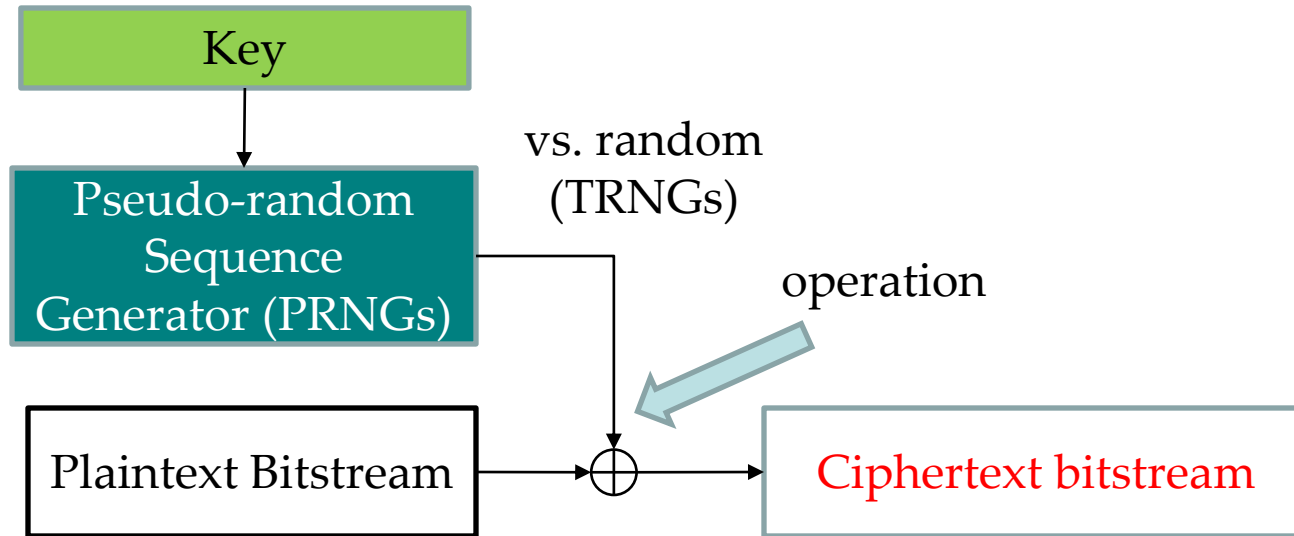
Short Version

Chun-Jen (James) Chung

# Classification of encryption algorithms



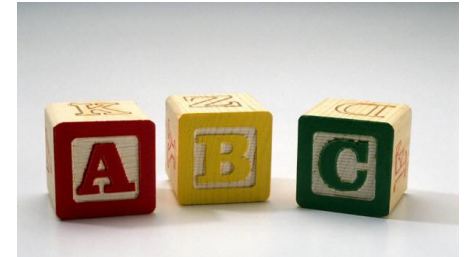
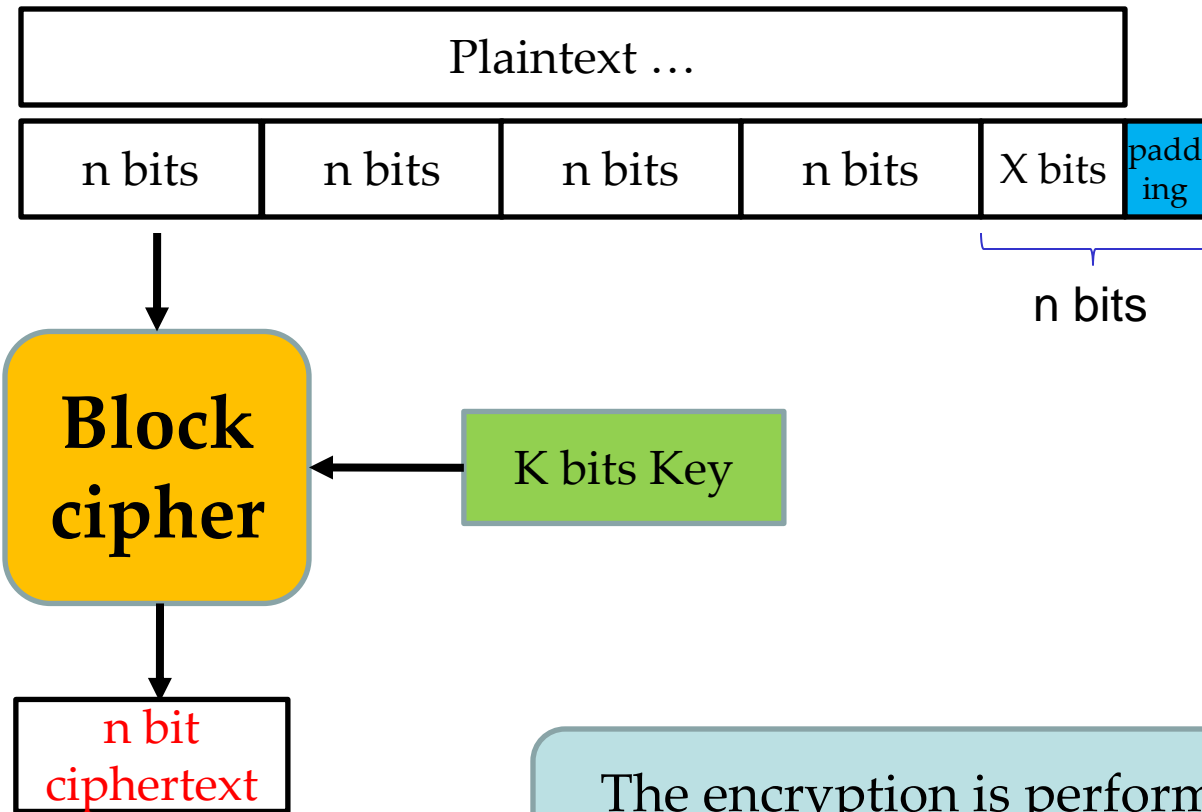
# Stream cipher



Plaintext bitstream	1 1 1 1 1 1 1 0 0 0 0 0 0 0 ...
Pseudo-random stream	1 0 0 1 1 0 1 0 1 1 0 1 0 0 ...
Ciphertext stream	0 1 1 0 0 1 0 1 1 1 0 1 0 0 ...

Q: Caesar is a stream cipher?

# Block cipher



The encryption is performed using one of the operation modes, we will visit it later.

Common block sizes:  
 $n = 64, 128, 256$  bits

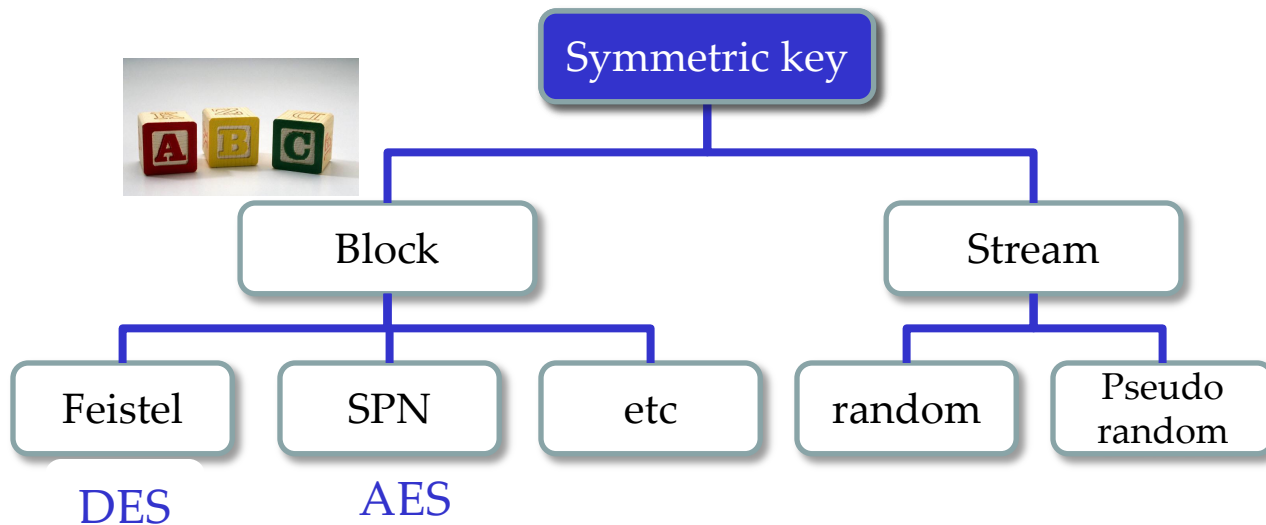
Common key sizes:  
 $k = 40, 56, 64, 80, 128, 168, 192, 256$  bits

# Stream cipher vs. Block cipher

	Stream cipher	Block cipher
Pros.	<ul style="list-style-type: none"><li>• <b>Speed of transformation:</b> Because each symbol is encrypted without regard for any other plaintext symbols, each symbol can be encrypted as soon as it is read.</li><li>• <b>Low error propagation:</b> Because each symbol is separately encoded</li></ul>	<ul style="list-style-type: none"><li>• <b>High diffusion:</b> Information from the plaintext is diffused into several ciphertext symbols.</li><li>• <b>Immunity to insertion of symbols:</b> Because blocks of symbols are enciphered, it is impossible to insert a single symbol into one block. The length of the block would then be incorrect</li></ul>
Cons.	<ul style="list-style-type: none"><li>• Low diffusion</li><li>• Susceptibility to malicious insertions and modifications</li></ul>	<ul style="list-style-type: none"><li>• Slowness of encryption (c.f. faster than public key)</li><li>• Error propagation</li></ul>

# DES (Data Encryption Standard)

# Block cipher: DES, AES

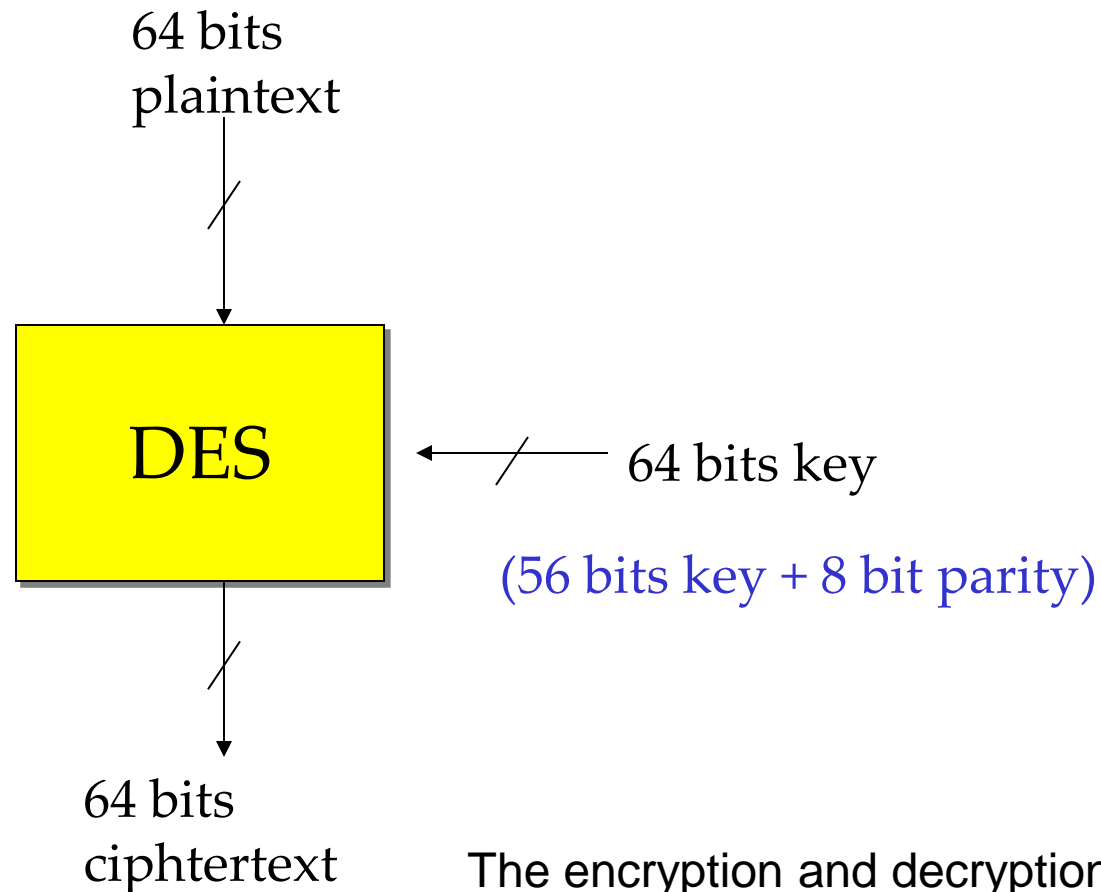


DES: Data Encryption Standard (1970s)  
or

DEA: Data Encryption Algorithm

AES: Advanced Encryption Standard (2001)

# DES Structure

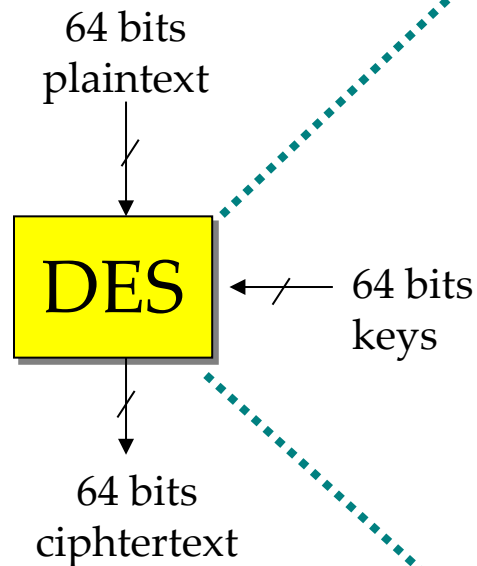


The encryption and decryption operations are very similar, even identical in some cases, requiring only a reversal of the key schedule.

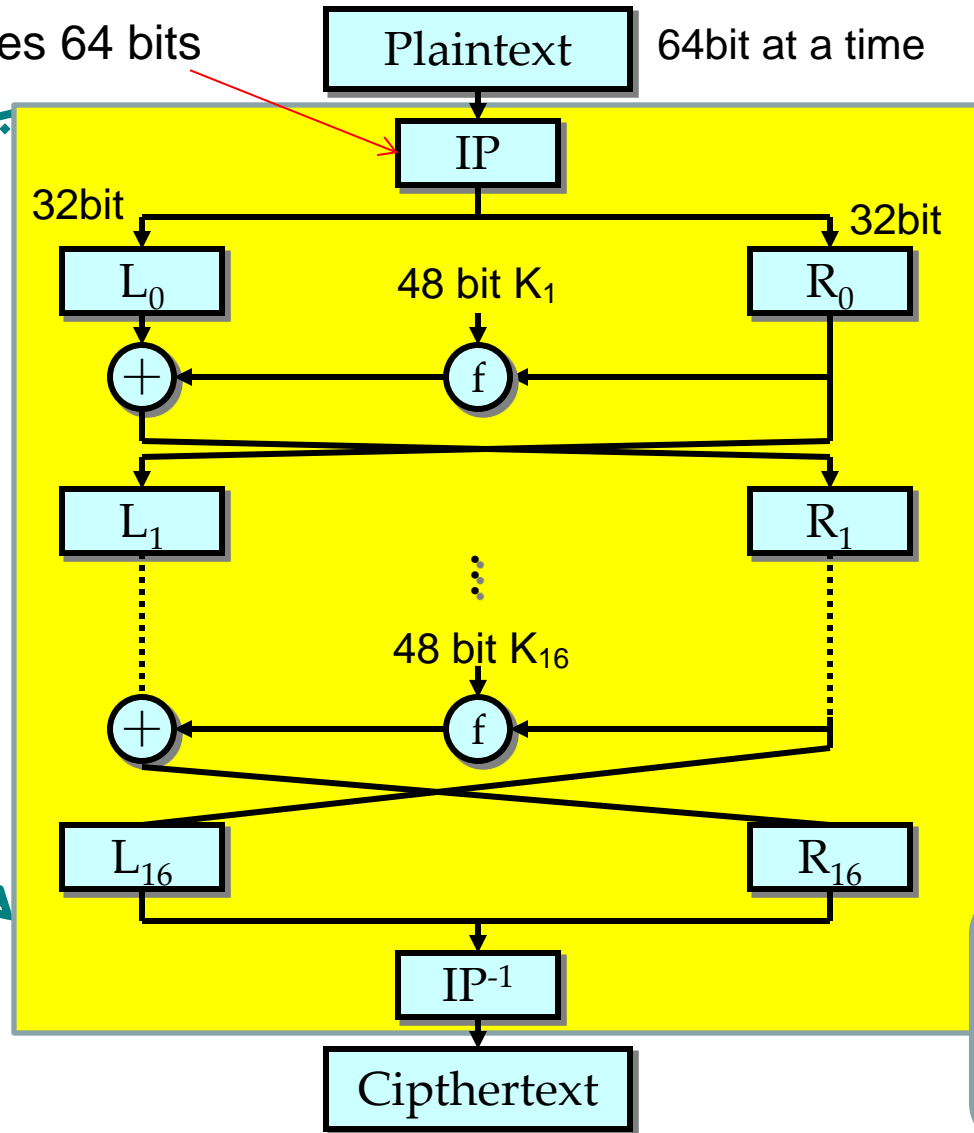


# DES Structure

Initial permutation rearranges 64 bits  
(no cryptographic effect)



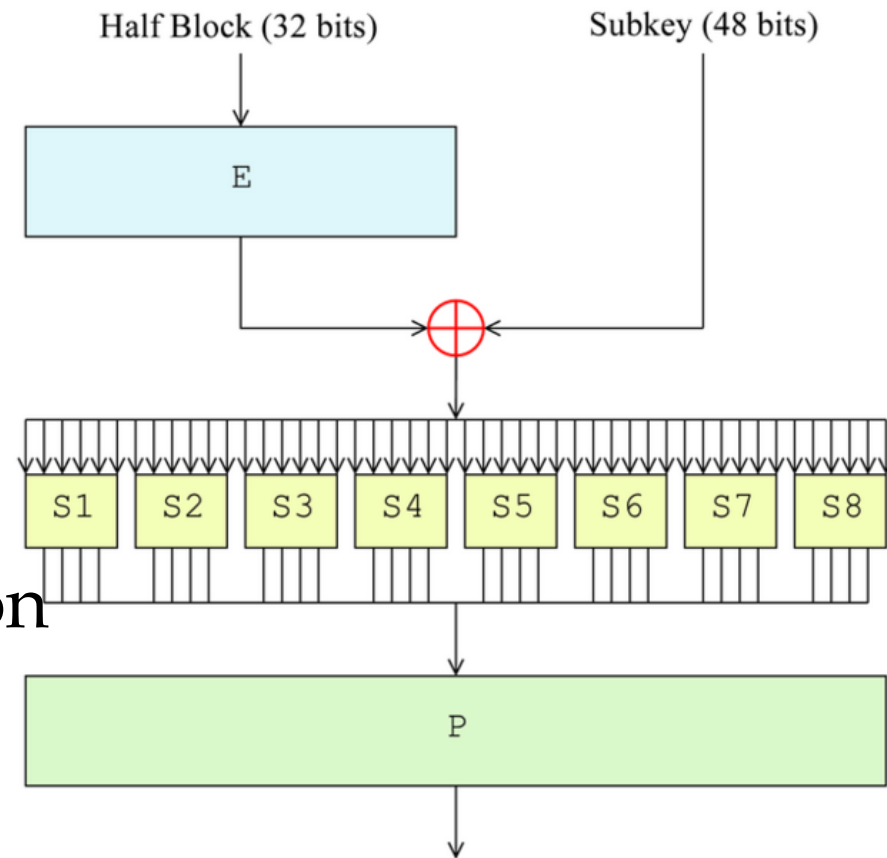
$$\begin{aligned} IP(M) &= L_0R_0 \\ L_i &= R_{i-1} \\ R_i &= L_{i-1} \oplus f(R_{i-1}, K_i) \\ C &= IP^{-1}(R_{16}L_{16}) \\ 1 \leq i &\leq 15 \end{aligned}$$



16  
rounds of  
permutations  
and  
substitution

# Feistel Function (f function)

- E-box
  - Expansion permutation  
32-bits  $\rightarrow$  48-bits
- Key mixing
  - XOR with 48-bits subkey
- S-boxes (substitution)
  - Non-linear transformation
- P-box (permutation)
  - Rearrange output  
with fixed permutation function



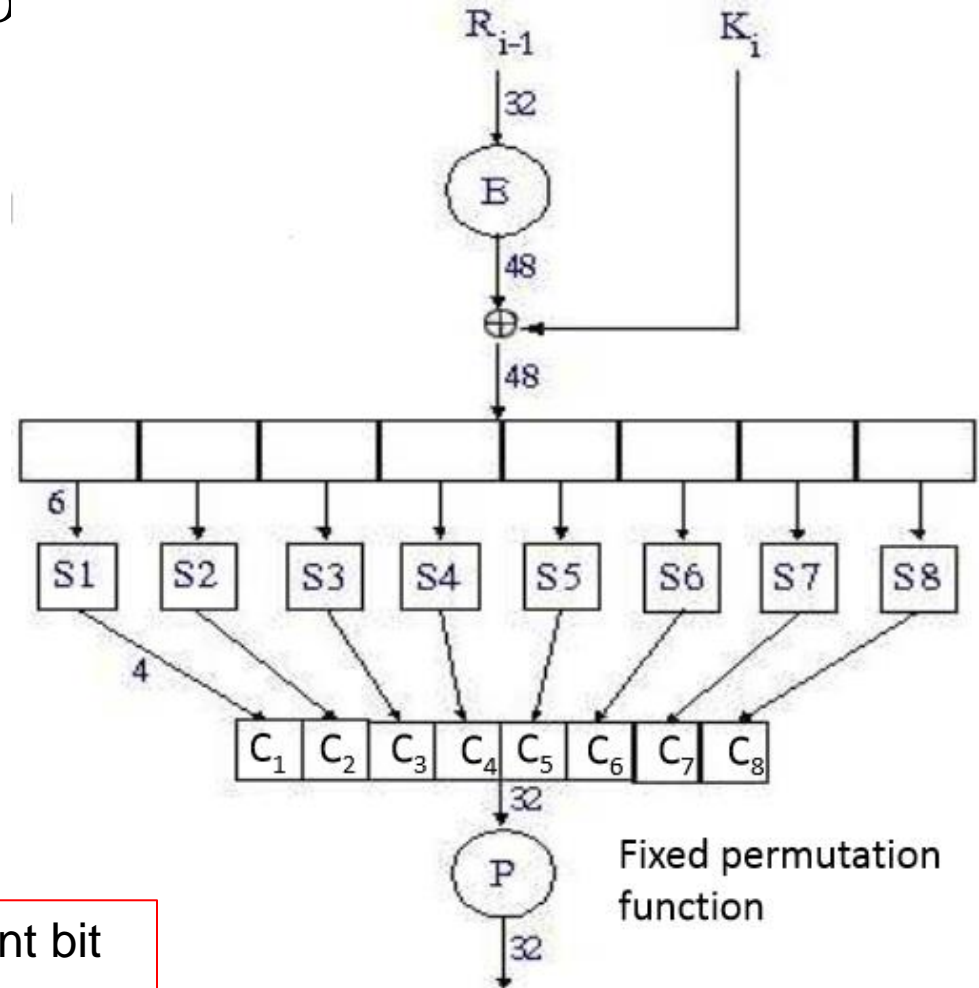
# E-box

## ■ Expansion function

- 32 bits  $\rightarrow$  48 bits

$S_1$	32	1	2	3	4	5
$S_2$	4	5	6	7	8	9
$S_3$	8	9	10	11	12	13
$S_4$	12	13	14	15	16	17
$S_5$	16	17	18	19	20	21
$S_6$	20	21	22	23	24	25
$S_7$	24	25	26	27	28	29
$S_8$	28	29	30	31	32	1

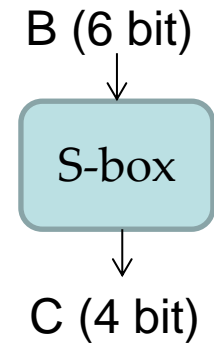
Add a copy of the immediately adjacent bit  
16 bits appear twice, in the expansion



# S-box

- Only *non-linear transformation* in DES, the core of security of DES.

- $B = b_1b_2b_3b_4b_5b_6$ 
  - $b_1b_6 \rightarrow \text{row } (2^2: 0\sim 3)$
  - $b_2b_3b_4b_5 \rightarrow \text{column } (2^4: 0\sim 15)$



- $C = S(\text{row}, \text{column})$

- E.g.

$B = 101111$

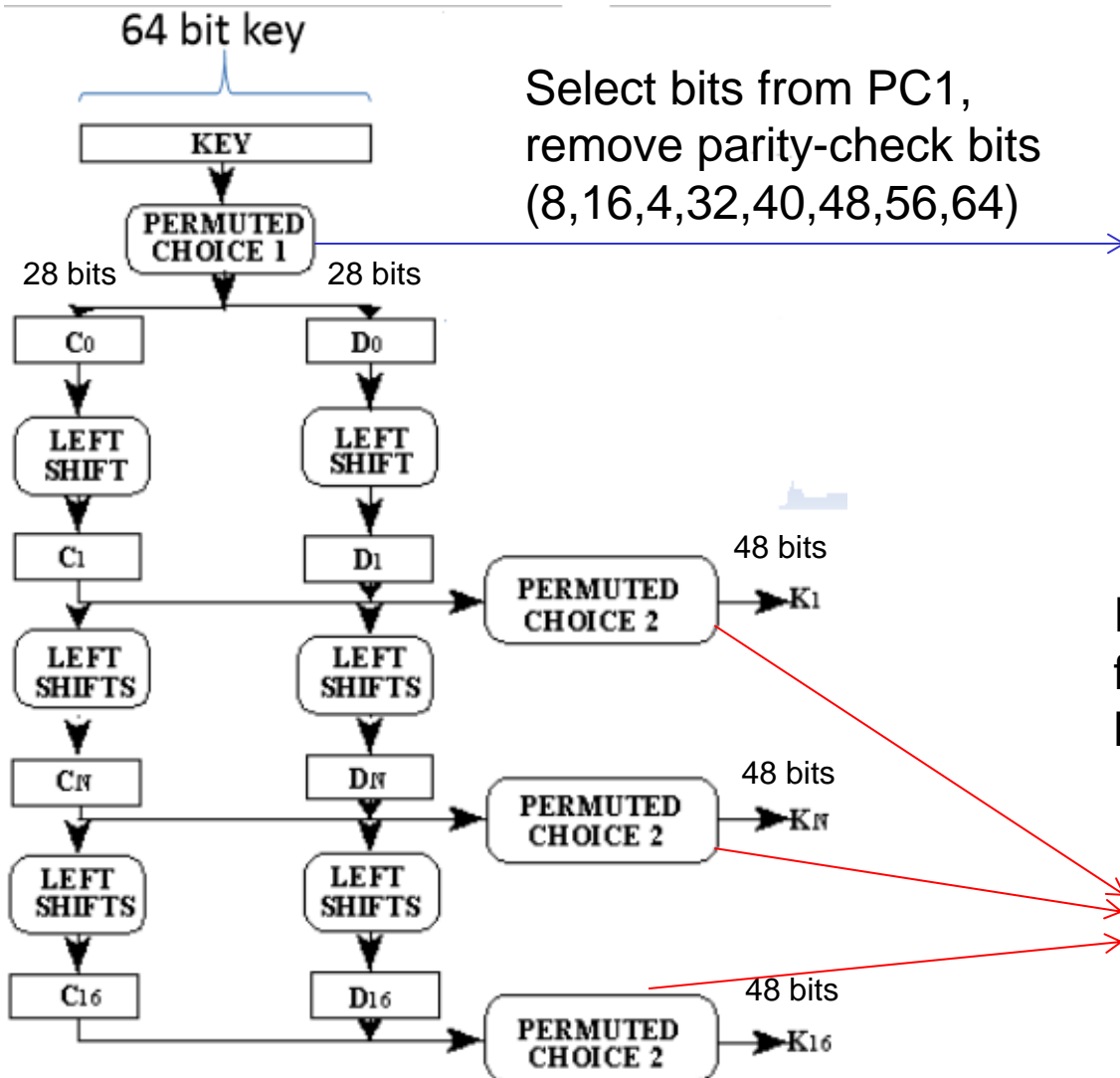
$C = S(3, 7) = 7$

$= \underline{0111}$

- $B = 011011, C = ?$

	$S_1$	1	2	3	...	<b>7</b>										15
0	14	4	13	1	2	15	11	8	3	10	6	12	5	9	0	7
1	0	15	7	4	14	2	13	1	10	6	12	11	9	5	3	8
2	4	1	14	8	13	6	2	11	15	12	9	7	3	10	5	0
<b>3</b>	15	12	8	2	4	9	1	<b>7</b>	5	11	3	14	10	0	6	13

# DES Key Generation



Select bits from PC1,  
remove parity-check bits  
(8,16,4,32,40,48,56,64)

Left						
57	49	41	33	25	17	9
1	58	50	42	34	26	18
10	2	59	51	43	35	27
19	11	3	60	52	44	36
Right						
63	55	47	39	31	23	15
7	62	54	46	38	30	22
14	6	61	53	45	37	29
21	13	5	28	20	12	4

PC-2 selects the 48-bit subkey  
for each round from the 56-bit  
key-schedule state

14	17	11	24	1	5	3	28
15	6	21	10	23	19	12	4
26	8	16	7	27	20	13	2
41	52	31	37	47	55	30	40
51	45	33	48	44	49	39	56
34	53	46	42	50	36	29	32

# DES: security concern

## ■ Weak Keys

- 56 bit key is too short
  - Can be broken on average in  $2^{56} \approx 7.21 \times 10^{16}$  trials
  - Moore's law: speed of processor doubles per 1.5 yr
- Keys make the same sub-key in more than 1 round.
- DES has 4 weak keys
  - 01010101 01010101
  - FEFEFEFE FEFEFEFE
  - E0E0E0E0 F1F1F1F1
  - 1F1F1F1F 0E0E0E0E
  - Using weak keys, the outcome of the PC1 to sub-keys being either all 0, all 1, or alternating 0-1 patterns.
  - Another problem:  $E_{\text{weak-key}}(E_{\text{weak-key}}(x)) = x$ .

# Multiple Encryption & DES

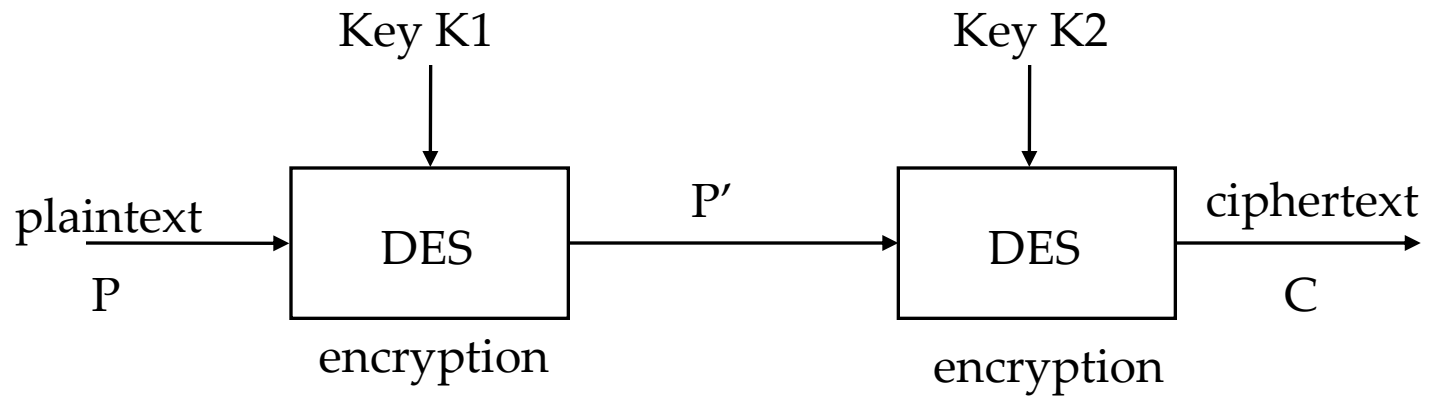
- DES is not secure enough.
- The once large key space,  $2^{56}$ , is now too small.
- In 2001, NIST published the **Advanced Encryption Standard (AES)** as an alternative.
- But users in commerce and finance are not ready to give up on DES.
- **Solution: to use multiple DES with multiple keys**

**Q: how many times can we use?**

**A: 2, 3, ...**

# Double-DES

- 2-DES



$$P' = E_{K1}(P)$$

$$P = D_{K1}(C')$$

$$C = E_{K2}(P')$$

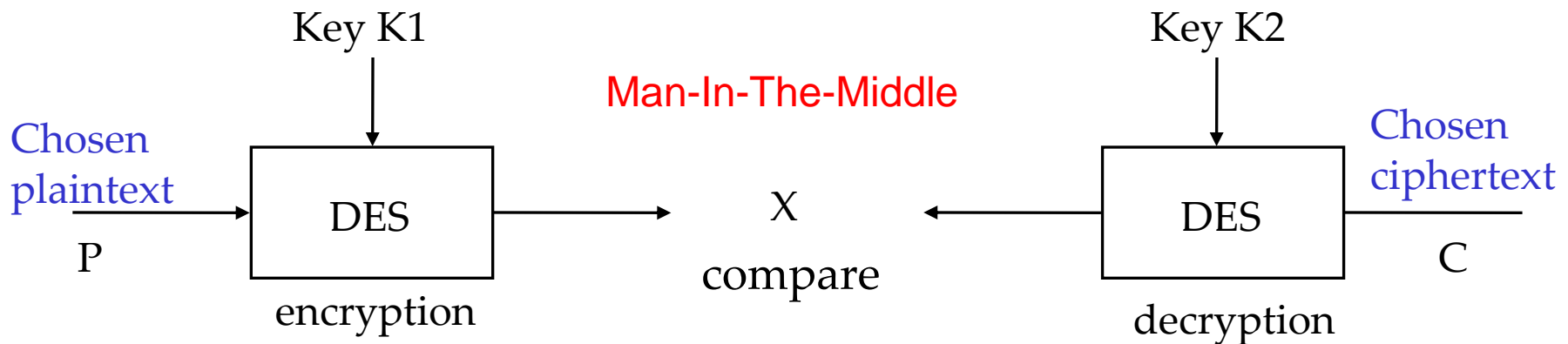
$$C' = D_{K2}(C)$$

Any problem for this scheme?



# Attack Double-DES

- 2-DES:  $C = E_{K2}(E_{K1}(P))$  ,  $P = D_{K1}(D_{K2}(C))$
- So,  $X = E_{K1}(P) = D_{K2}(C)$



(1) try all  $2^{56}$  possible keys for K1

(2) try all  $2^{56}$  possible keys for K2

(3) If  $E_{K1'}(P) = D_{K2'}(C)$ , try the keys on another  $(P', C')$

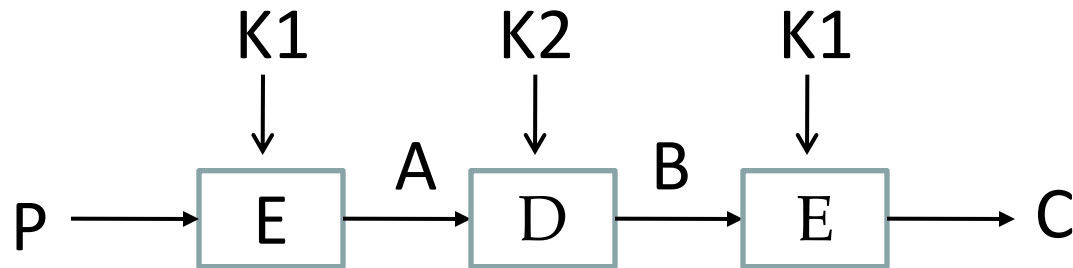
(4) If  $E_{K1'}(P') = D_{K2'}(C')$ ,  $(K1', K2') = (K1, K2)$  with high probability

Takes  $2 \times 2^{56} = 2^{57}$  steps; not much more than attacking 1-DES.

# Triple-DES with Two-Keys

- hence must use 3 encryptions
  - would seem to need 3 distinct keys
- In practice:  $C = E_{K_1}(D_{K_2}(E_{K_1}(P)))$ 
  - Also referred to as EDE encryption
- Reason:
  - if  $K_1=K_2$ , then 3DES = 1DES. Thus, a 3DES software can be used as a single-DES.
- Standardized in ANSI X9.17 & ISO8732
- No current known practical attacks
  - Q: What about the meet-in-the-middle attack?

# Meet-in-the-Middle Attack on 3DES



1. For each possible key for  $K1$ , encrypt  $P$  to produce a possible value for  $A$ .
2. Using this  $A$ , and  $C$ , attack the 2DES to obtain a pair of keys  $(K2, K1')$ .
3. If  $K1' = K1$ , try the key pair  $(K1, K2)$  on another  $(C', P')$ .
4. If it works,  $(K1, K2)$  is the key pair with high probability.
5. It takes  $O(2^{56} \times 2^{56}) = O(2^{112})$  steps on average.

# Triple-DES with Three-Keys

- Encryption:  $C = E_{K_3}(D_{K_2}(E_{K_1}(P)))$ .
- If  $K_1 = K_3$ , we have 3DES with 2 keys.
- If  $K_1 = K_2 = K_3$ , we have the regular DES.
- So, 3DES w/ 3keys is backward compatible with 3DES w/ 2 keys and with the regular DES
- Some internet applications have adopted 3DES with three keys.
  - E.g., PGP (pretty good privacy) and S/MIME (Secure/Multipurpose Internet Mail Extensions).

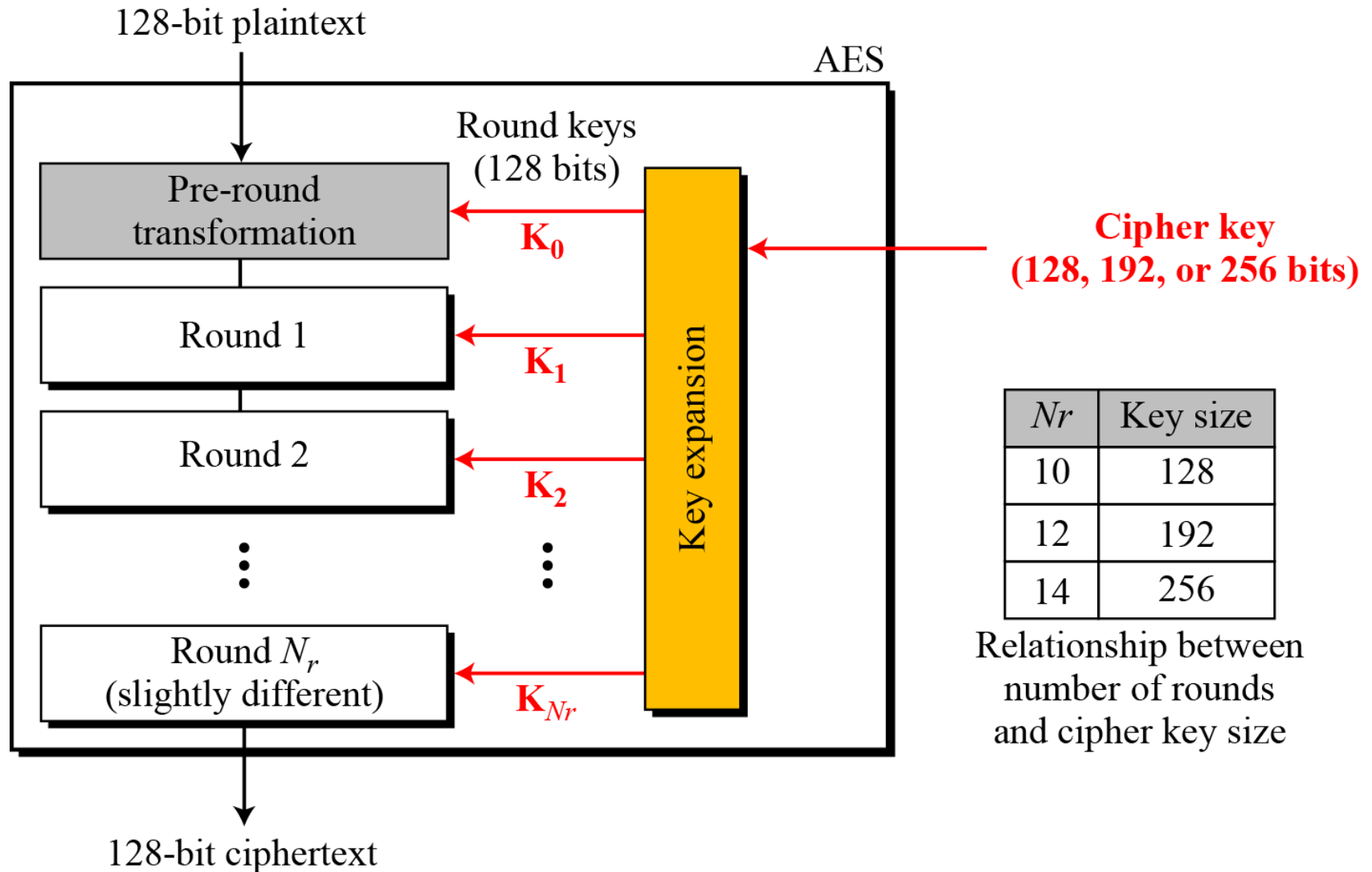
# AES (Advanced Encryption Standard)

# Overview of AES

- Based on a design principle known as *substitution-permutation network (SPN)*
- Block length is limited to **128** bit
- The **key size** can be independently specified to **128, 192 or 256** bits

Key size (words/bytes/bits)	4/16/ <b>128</b>	6/24/ <b>192</b>	8/32/ <b>256</b>
Number of rounds	<b>10</b>	<b>12</b>	<b>14</b>
Expanded key size (words/byte)	44/176	52/208	60/240

# General design of AES encryption cipher

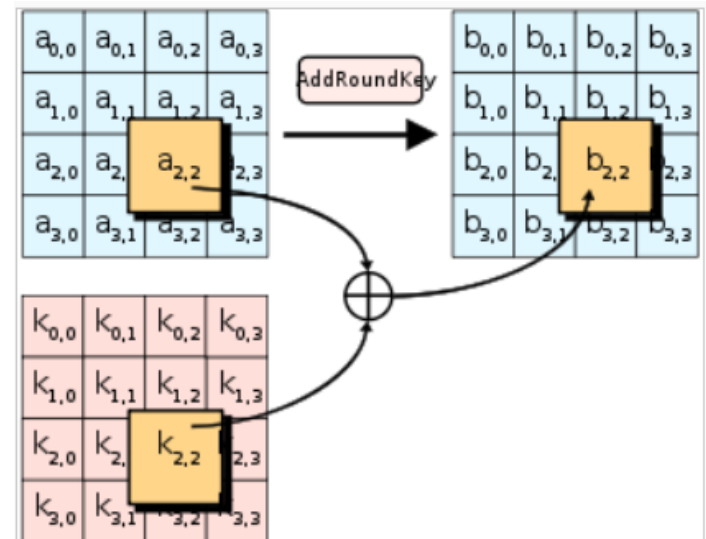
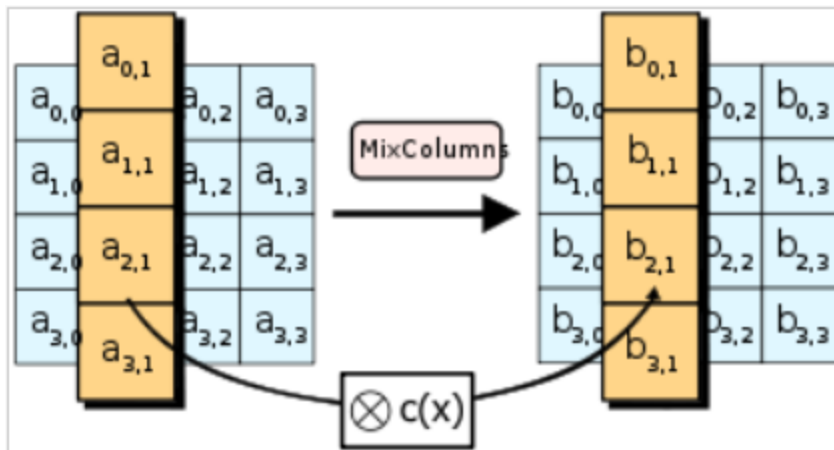
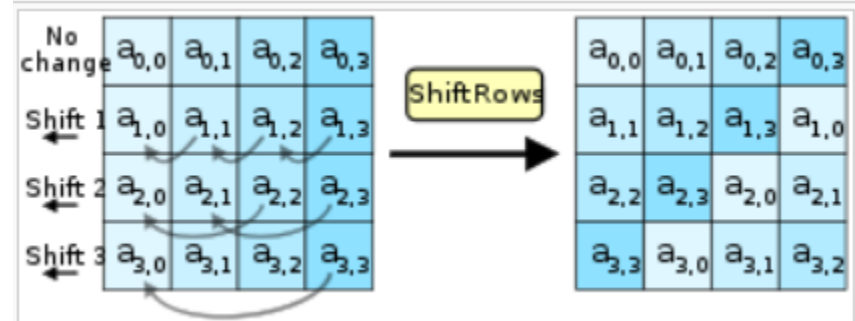
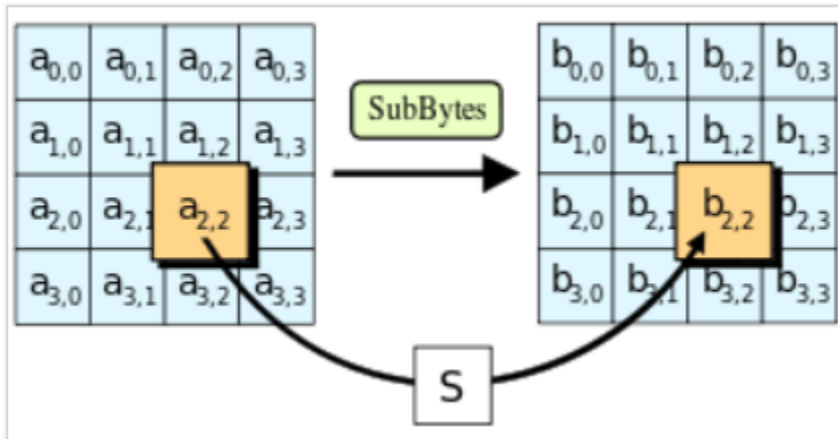


# AES

- Each round uses 4 functions
  - ByteSub (nonlinear layer) :
    - referred to as an S-box; byte-by-byte substitution
  - ShiftRow (linear mixing layer)
    - A simple permutation row by row
  - MixColumn (nonlinear layer)
    - A substitution that alters each byte in a column as function of all of the bytes in column
  - AddRoundKey (key addition layer)
    - A simple bitwise XOR of the current block with a portion of the expanded key



# AES 4 Steps



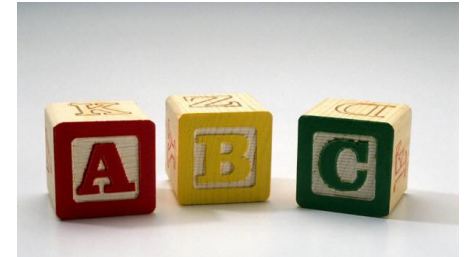
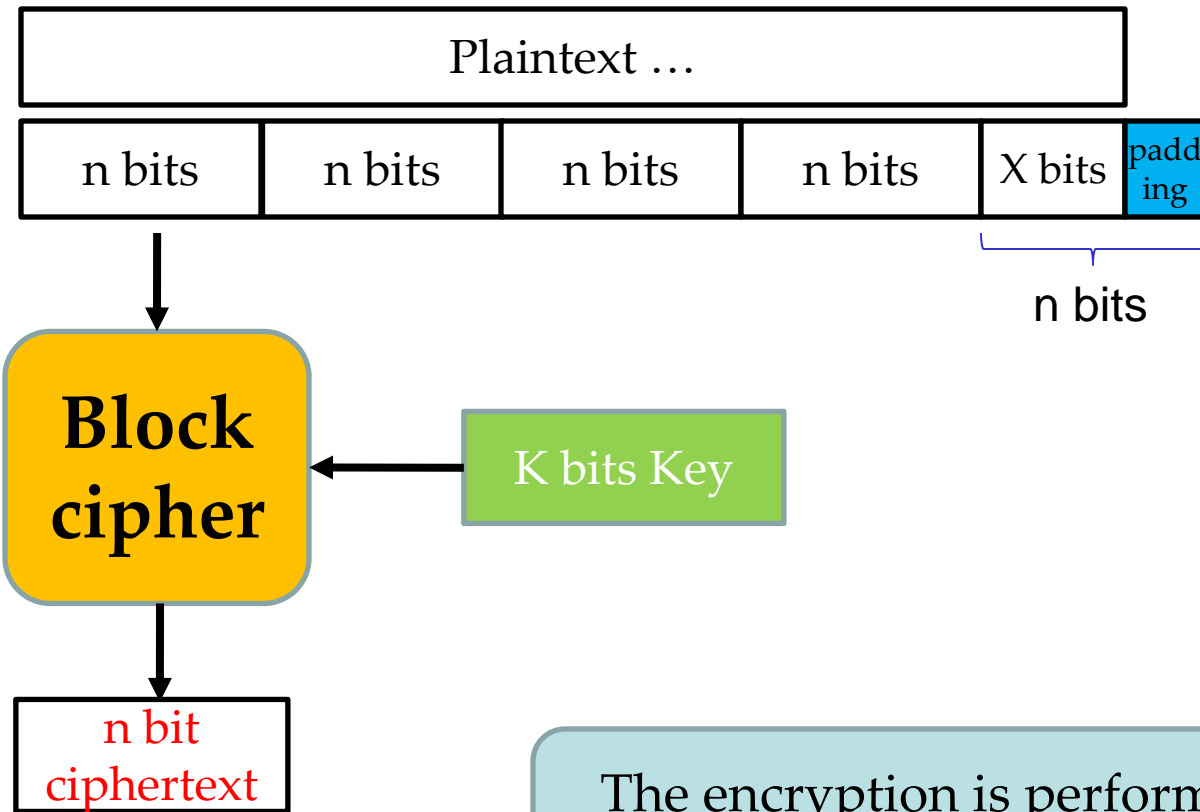
# DES vs. AES

	DES	AES
Date	1976	1999
Block size	64	128
Key length	56	128, 192, 256
Number of rounds	16	10,12,14
Encryption primitives	Substitution, permutation	Substitution, shift, bit mixing
Cryptographic primitives	Confusion, diffusion	Confusion, diffusion
Structure	Feistel	SPN( substitution-permutation network)
Design	Open	Open
Design rationale	Closed	Open
Selection process	Secret	Secret, but accept open public comment
Source	IBM, enhanced by NSA	Independent cryptographers

# Modes of operation

Q: If block size is bigger than 64 bits in case of using DES?

# Block cipher



The encryption is performed using one of the **operation modes**

Common block sizes:  
 $n = 64, 128, 256$  bits

Common key sizes:  
 $k = 40, 56, 64, 80, 128, 168, 192, 256$  bits

# Modes of Operation

- **ECB** – Electronic Code Book
- **CBC** – Cipher Block Chaining **Most popular**
- **OFB** – Output Feed Back
- **CFB** – Cipher Feed Back
- **CTR** - Counter

# Modes of Operation: summary

- ECB – Electronic Code Book Don't use
- CBC – Cipher Block Chaining Most popular,  
e.g., DES-CBC
- OFB – Output Feed Back
- CFB – Cipher Feed Back } Use CTR
- CTR - Counter e.g., AES-CTR

Q: What security objective does this provide?

A: Confidentiality

# Operation modes

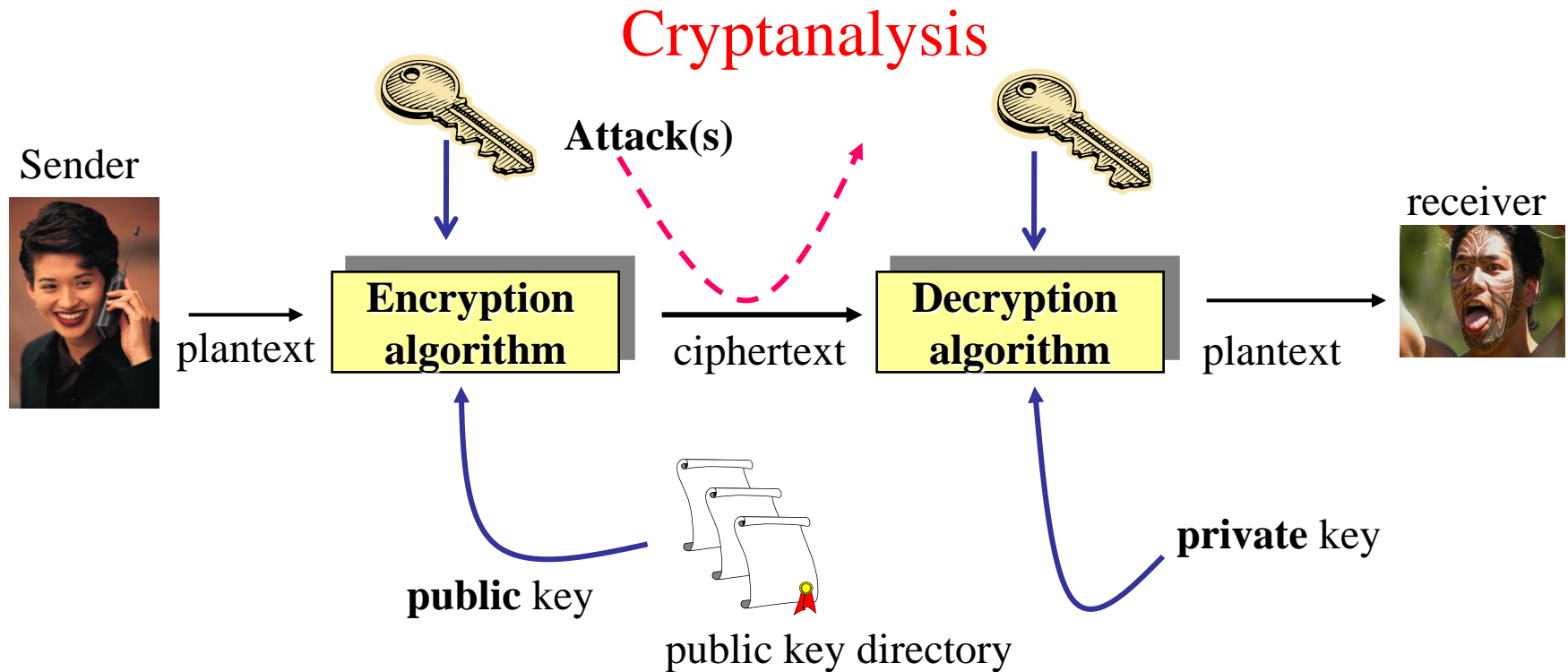
**Table 8.1** *Summary of operation modes*

<i>Operation Mode</i>	<i>Description</i>	<i>Type of Result</i>	<i>Data Unit Size</i>
ECB	Each $n$ -bit block is encrypted independently with the same cipher key.	Block cipher	$n$
CBC	Same as ECB, but each block is first exclusive-ored with the previous ciphertext.	Block cipher	$n$
CFB	Each $r$ -bit block is exclusive-ored with an $r$ -bit key, which is part of previous cipher text	Stream cipher	$r \leq n$
OFB	Same as CFB, but the shift register is updated by the previous $r$ -bit key.	Stream cipher	$r \leq n$
CTR	Same as OFB, but a counter is used instead of a shift register.	Stream cipher	$n$

Q: How do we know the  
encryption (block cipher) is  
secure?



# Cryptanalysis



# Breaking Ciphers

- **Ciphertext only** (COA, Known-ciphertext)
  - Attacker can only access to a set of ciphertext
- **Known plaintext** (KPA)
  - know/suspect plaintext & ciphertext pairs
- **Chosen plaintext** (CPA)
  - select plaintext to be encrypted and obtain ciphertext
- **Chosen ciphertext**
  - select ciphertext and obtain plaintext under an unknown key
- **Chosen text**
  - select plaintext or ciphertext to en/decrypt

# Ciphertext-only attack (COA)

Known to attacker	$C_1, C_2, \dots, C_n$
Objective	1) $P_1, P_2, \dots, P_n$
	2) Key $K$
	3) Algorithm: $C_{n+1} \rightarrow P_{n+1}$

Ciphertexts generated using the same key

Find an algorithm that can decrypt any message encrypted using the key  $K$ .

# Known-plaintext attack (KPA)

Known to attacker	$(P_1, C_1), (P_2, C_2), \dots, (P_n, C_n),$
Objective	1) Key $K$
	2) Algorithm: $C_{n+1} \rightarrow P_{n+1}$

Attacker obtains some  $(P, C)$  pairs, but **cannot** select any  $P_i$  and get  $C_i$

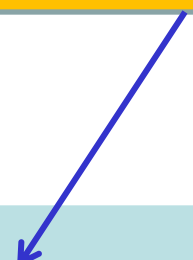
# Chosen-plaintext attack

Attackers **can select** any  $P_i$ , and get system to tell him what the  $C_i$  is.

Known to attacker	$(P_1, C_1), (P_2, C_2), \dots, (P_n, C_n),$
Objective	1) Key $K$
	2) Algorithm: $C_{n+1} \rightarrow P_{n+1}$

# Chosen-ciphertext attack

Attackers **can** select  $C_1, C_2, \dots, C_n$  before the attack begins.



Known to attacker	$(P_1, C_1), (P_2, C_2), \dots, (P_n, C_n),$
Objective	1) Key $K$
	2) Algorithm: $C_{n+1} \rightarrow P_{n+1}$

This attack is used against **public key algorithm**. Attacker can generate the ciphertexts by himself using the public key of the target.

# Result of Attacks

- Total break:

- found the **key**

Objective	1) Key K
	2) Algorithm: $C_{n+1} \rightarrow P_{n+1}$

- Global deduction:

- Was not successful in finding the key, but successful in finding **an algorithm** that can decrypt any ciphertexts of the target.

- Instance deduction:

- Obtained **some plaintexts** from some ciphertexts.

- Information deduction:

- Obtained **a partial bits** of plaintext of partial bits of the target key

# Secureness of an cipher

## ■ Computational secure

- **Cost** of breaking the cipher exceeds the value of the encrypted information
- The **time** required to break the cipher exceeds the useful lifetime of the information (e.g., 1 month to break the all black's tactics)

## ■ Provably secure:

- the security of the system can be proven to be equivalent to a hard problem

## ■ Unconditional security

- Even if the attacker has infinite amount of computing resource, the attacker cannot succeed in cryptanalyzing the algorithm
- Only one-time pad is proven to be unconditionally secure



# Brute Force Search

- always possible to simply try every key
  - e.g., PIN number (0000)
- most basic attack, proportional to key size
- assume either know / recognise plaintext

Key Size (bits)	Number of Alternative Keys	Time required at 1 decryption/ $\mu$ s	Time required at $10^6$ decryptions/ $\mu$ s
32	$2^{32} = 4.3 \times 10^9$	$2^{31} \mu\text{s} = 35.8 \text{ minutes}$	2.15 milliseconds
56	$2^{56} = 7.2 \times 10^{16}$	$2^{55} \mu\text{s} = 1142 \text{ years}$	10.01 hours
128	$2^{128} = 3.4 \times 10^{38}$	$2^{127} \mu\text{s} = 5.4 \times 10^{24} \text{ years}$	$5.4 \times 10^{18} \text{ years}$
168	$2^{168} = 3.7 \times 10^{50}$	$2^{167} \mu\text{s} = 5.9 \times 10^{36} \text{ years}$	$5.9 \times 10^{30} \text{ years}$
26 characters (permutation)	$26! = 4 \times 10^{26}$	$2 \times 10^{26} \mu\text{s} = 6.4 \times 10^{12} \text{ years}$	$6.4 \times 10^6 \text{ years}$

Q: Is DES computationally secure?

Q: Why do we need public key  
encryptions?

