

# Functional Dependencies

## Theory

Example:  $F = \{X \rightarrow Y, Y \rightarrow Z\}$  by definition of FDs,  $X \rightarrow Z$  is "logically implied" by  $F$ .

Let  $F$  be a set of FDs. Let  $F^+$  denote the closure of  $F$ , which is the set of all FDs logically implied by  $F$ .

## Rules of Inference for FDs (FD rules)

1. Reflexivity if  $Y \subseteq X$ , then  $X \rightarrow Y$
2. Augmentation if  $X \rightarrow Y$ , then  $WX \rightarrow WY$
3. Transitivity if  $X \rightarrow Y$  &  $Y \rightarrow Z$ , then  $X \rightarrow Z$
4. Union if  $X \rightarrow Y$  &  $X \rightarrow Z$ , then  $X \rightarrow YZ$
5. Decomposition if  $X \rightarrow YZ$ , then  $X \rightarrow Y$  &  $X \rightarrow Z$
6. Pseudotransitivity if  $X \rightarrow Y$  &  $WY \rightarrow Z$ , then  $XW \rightarrow Z$

\* Rules 1, 2 & 3 are Armstrong's axioms (complete)  
 \* Rules 4, 5 & 6 follow from Armstrong's axioms

E.g. Consider a relation schema with attributes  $ABCGWXYZ$  and the set of functional dependencies  $F = \{XZ \rightarrow ZYB, YA \rightarrow CG, C \rightarrow W, B \rightarrow G, XZ \rightarrow G\}$

(a) Is dependency  $XZA \rightarrow YB$  implied by  $F$ ?  
 This question is actually ask for does closure  $(XZA)^+$  contains  $YB$ ?

result:  
 $X, Z, A$  given  
 $Y, B$   $XZ \rightarrow ZYB$   
 $\vdots$

$\therefore$  Yes,  $XZA \rightarrow YB$  is in  $F^+$

(b) Is the decomposition into  $XZ \rightarrow YAB$  and  $YABCGW$  lossless?

	A	B	C	G	W	X	Y	Z
$R_1 = (X, Z, Y, A, B)$	✓	✓	✓ <sup>②</sup>	✓ <sup>①</sup>	✓ <sup>①</sup>	✓	✓	✓
$R_2 = (Y, A, B, C, G, W)$								

- ①  $XZ \rightarrow G$
- ②  $YA \rightarrow C, G$
- ③  $C \rightarrow W$

$\therefore$  there is a completed row

$\therefore$  This is lossless-join, that is  $R_1$  and  $R_2$  is lossless-join.

Method 2:  
 $R_1 \cap R_2 = \{Y, A, B\}$   
 if  $(Y, A, B)^+ \Rightarrow R_1$  or  $R_2 \Rightarrow$  lossless.  
 $(Y, A, B)^+ = \{Y, A, B, C, G, W\}$   
 $= R_2$   
 $\therefore$  lossless-join decomposition

## Functional Dependencies

Suppose that we have the following three tuples in  $S(A,B,C) = (1,2,3), (4,2,3), (5,3,3)$   
 Which of the following dependencies can you infer does not hold over schema  $S$ ?

- (i)  $A \rightarrow B$     (ii)  $BC \rightarrow A$     (iii)  $B \rightarrow C$

$S$	A	B	C
	1	2	3
	4	2	3
	5	3	3

(i)  $A \rightarrow B$  holds over  $S$  ★ (Which means we can define  $S(A)=B$  which is a function)

(ii) does not hold over  $S$ , because  $(1,2,3)$  and  $(4,2,3)$  have same value for  $BC$  but different value for  $A$ .  
As a function same left hand side could not have different right hand side value.  $y=f(x)$  or  $x$  value could not be mapped to more than 1  $x$  value.

(iii)  $B \rightarrow C$  holds over  $S$ .

Find keys      KEY  $\rightarrow$  Everything

Consider a relation  $R(A,B,C,D,E,F)$  with the following set of functional dependencies:  
 $A \rightarrow C, DE \rightarrow F, B \rightarrow D$

What is the key for  $R$ ?

$\{A,B,E\}^+ = \{A,B,E,C,D,F\} = R \Rightarrow \{A,B,E\}$  is the key for  $R$ .

Example of lossless

Consider the schema  $R=ABCDE$  with the set of FDs  $F=\{AB \rightarrow CD, C \rightarrow B\}$ . Is the decomposition

$\{AE, BC, ACD\}$  of  $R$  lossless with respect to  $F$ ?

init:

	A	B	C	D	E
AE	a				e
BC		b	c	d	
ACD	a		c	d	

applying  $C \rightarrow B$ :

	A	B	C	D	E
AE	a				e
BC		b	c	d	
ACD	a	b	c	d	

applying  $AB \rightarrow CD$   
nothing change.

$\therefore$  we have no rows complete with a,b,c,d,e the decomposition is lossy.